

Note: Broker-dealers are required to disclose any material arrangements with the venues listed in each section below, including, but not limited to, any internalization or payment for order flow arrangements.

AFY
ALLSTATE FINANCIAL SERVICES LLC
2920 SOUTH 84TH STREET
LINCOLN, NE 68506

SEC Rule 606 Quarterly Report for the Quarter Ending June 30, 2013

Securities Listed on New York Stock Exchange

Summary Statistics :

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	89.61%
Limit orders as percentage of total non-directed orders	8.60%
Other orders as percentage of total non-directed orders	1.79%

Venues Receiving Significant Percentage of Total Non-Directed Orders :

1. Knight Capital Markets, LLC	33.39%
2. Citadel Securities LLC	15.42%
3. Goldman, Sachs & Co.	13.20%
4. UBS Securities, LLC	10.31%
5. Two Sigma Securities, LLC	9.28%
6. National Financial Services LLC	8.09%
7. Citigroup Global Markets, Inc.	3.32%
8. Instinet LLC	2.73%
9. Direct Edge Exchange	2.64%
10. Morgan Stanley & Co. Incorporated	0.94%

Information Concerning Significant Venues :

1. Knight Capital Markets, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	34.32%
Limit orders as percentage of total limit orders	15.84%
Other orders as percentage of total other orders	71.43%

2. Citadel Securities LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	15.49%
Limit orders as percentage of total limit orders	11.88%
Other orders as percentage of total other orders	28.57%

3. Goldman, Sachs & Co.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	14.54%
Limit orders as percentage of total limit orders	1.98%
Other orders as percentage of total other orders	0.00%

4. UBS Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	10.65%
Limit orders as percentage of total limit orders	8.91%
Other orders as percentage of total other orders	0.00%

5. Two Sigma Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	10.27%
Limit orders as percentage of total limit orders	0.99%
Other orders as percentage of total other orders	0.00%

6. National Financial Services LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	7.13%
Limit orders as percentage of total limit orders	19.80%
Other orders as percentage of total other orders	0.00%

7. Citigroup Global Markets, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	3.42%
Limit orders as percentage of total limit orders	2.97%
Other orders as percentage of total other orders	0.00%

8. Instinet LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	3.04%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

9. Direct Edge Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	30.69%
Other orders as percentage of total other orders	0.00%

10. Morgan Stanley & Co. Incorporated

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.05%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

Securities Listed on The Nasdaq Stock Market

Summary Statistics :

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	80.23%
Limit orders as percentage of total non-directed orders	18.00%
Other orders as percentage of total non-directed orders	1.76%

Venues Receiving Significant Percentage of Total Non-Directed Orders :

1. Knight Equity Markets, L.P.	27.98%
2. Citadel Securities LLC	21.33%
3. Two Sigma Securities, LLC	13.31%
4. National Financial Services LLC	10.37%
5. Goldman, Sachs & Co.	9.78%
6. Citigroup Global Markets, Inc.	4.70%
7. UBS Securities, LLC	4.70%
8. Direct Edge Exchange	3.52%
9. Instinet LLC	2.35%
10. LavaFlow, Inc.	0.98%

Information Concerning Significant Venues :

1. Knight Equity Markets, L.P.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	30.24%
Limit orders as percentage of total limit orders	17.39%
Other orders as percentage of total other orders	33.33%

2. Citadel Securities LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	21.95%
Limit orders as percentage of total limit orders	17.39%
Other orders as percentage of total other orders	33.33%

3. Two Sigma Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	16.34%
Limit orders as percentage of total limit orders	1.09%
Other orders as percentage of total other orders	0.00%

4. National Financial Services LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	7.80%
Limit orders as percentage of total limit orders	22.83%
Other orders as percentage of total other orders	0.00%

5. Goldman, Sachs & Co.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	11.46%
Limit orders as percentage of total limit orders	3.26%
Other orders as percentage of total other orders	0.00%

6. Citigroup Global Markets, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	4.15%
Limit orders as percentage of total limit orders	7.61%
Other orders as percentage of total other orders	0.00%

7. UBS Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	4.15%
Limit orders as percentage of total limit orders	4.35%
Other orders as percentage of total other orders	33.33%

8. Direct Edge Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	19.57%
Other orders as percentage of total other orders	0.00%

9. Instinet LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	2.93%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

10. LavaFlow, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.24%
Limit orders as percentage of total limit orders	4.35%
Other orders as percentage of total other orders	0.00%

Securities Listed on NYSE MKT or Regional Exchanges

Summary Statistics :

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	91.21%
Limit orders as percentage of total non-directed orders	8.79%
Other orders as percentage of total non-directed orders	0.00%

Venues Receiving Significant Percentage of Total Non-Directed Orders :

1. Goldman, Sachs & Co.	39.70%
2. Knight Capital Markets, LLC	22.73%
3. Citadel Securities LLC	18.48%
4. Two Sigma Securities, LLC	5.76%
5. UBS Securities, LLC	4.24%
6. National Financial Services LLC	2.42%
7. Citigroup Global Markets, Inc.	1.82%
8. Direct Edge Exchange	1.21%
9. Instinet LLC	1.21%
10. NYSE Arca	0.91%

Information Concerning Significant Venues :

1. Goldman, Sachs & Co.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	43.52%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

2. Knight Capital Markets, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	18.60%
Limit orders as percentage of total limit orders	65.52%
Other orders as percentage of total other orders	0.00%

3. Citadel Securities LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	20.27%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

4. Two Sigma Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	6.31%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

5. UBS Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	4.32%
Limit orders as percentage of total limit orders	3.45%
Other orders as percentage of total other orders	0.00%

6. National Financial Services LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	2.66%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

7. Citigroup Global Markets, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.99%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

8. Direct Edge Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	13.79%
Other orders as percentage of total other orders	0.00%

9. Instinet LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.33%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

10. NYSE Arca

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	10.34%
Other orders as percentage of total other orders	0.00%

Exchange - Listed Options

Summary Statistics :

Non-directed orders as percentage of total customer orders	0.00%
Market orders as percentage of total non-directed orders	0.00%
Limit orders as percentage of total non-directed orders	0.00%
Other orders as percentage of total non-directed orders	0.00%