

Note: Broker-dealers are required to disclose any material arrangements with the venues listed in each section below, including, but not limited to, any internalization or payment for order flow arrangements.

AFY
ALLSTATE FINANCIAL SERVICES LLC
2920 SOUTH 84TH STREET
LINCOLN, NE 68506

SEC Rule 606 Quarterly Report for the Quarter Ending September 30, 2011

Securities Listed on New York Stock Exchange

Summary Statistics :

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	85.11%
Limit orders as percentage of total non-directed orders	11.43%
Other orders as percentage of total non-directed orders	3.46%

Venues Receiving Significant Percentage of Total Non-Directed Orders :

1. Knight Capital Markets, LLC	32.46%
2. National Financial Services LLC	22.16%
3. Citadel Derivatives Group LLC	17.01%
4. UBS Securities, LLC	14.47%
5. Citigroup Global Markets, Inc.	5.65%
6. Goldman, Sachs & Co.	3.32%
7. AlterNet Securities Inc.	1.20%
8. Direct Edge Exchange	1.13%
9. BATS Exchange, Inc.	0.99%
10. NYSE Arca	0.92%

Information Concerning Significant Venues

:

1. Knight Capital Markets, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market	32.17%
Limit orders as percentage of total limit orders	30.86%
Other orders as percentage of total other orders	44.90%

2. National Financial Services LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market	25.54%
Limit orders as percentage of total limit orders	3.70%
Other orders as percentage of total other orders	0.00%

3. Citadel Derivatives Group LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market	16.17%
Limit orders as percentage of total limit orders	22.84%
Other orders as percentage of total other orders	18.37%

4. UBS Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market	14.26%
Limit orders as percentage of total limit orders	12.96%
Other orders as percentage of total other orders	24.49%

5. Citigroup Global Markets, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market	5.97%
Limit orders as percentage of total limit orders	1.23%
Other orders as percentage of total other orders	12.24%

6. Goldman, Sachs & Co.

Types of Orders Routed to Venue :

Market orders as percentage of total market	3.65%
Limit orders as percentage of total limit orders	1.85%
Other orders as percentage of total other orders	0.00%

7. AlterNet Securities Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market	1.41%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

8. Direct Edge Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market	0.00%
Limit orders as percentage of total limit orders	9.88%
Other orders as percentage of total other orders	0.00%

9. BATS Exchange, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market	0.00%
Limit orders as percentage of total limit orders	8.64%
Other orders as percentage of total other orders	0.00%

10. NYSE Arca

Types of Orders Routed to Venue :

Market orders as percentage of total market	0.00%
Limit orders as percentage of total limit orders	8.02%
Other orders as percentage of total other orders	0.00%

Securities Listed on The Nasdaq Stock Market

Summary Statistics :

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	84.33%
Limit orders as percentage of total non-directed orders	12.90%
Other orders as percentage of total non-directed orders	2.78%

Venues Receiving Significant Percentage of Total Non-Directed Orders :

1. UBS Securities, LLC	27.58%
2. Citadel Derivatives Group LLC	20.83%
3. Knight Equity Markets, L.P.	19.25%
4. National Financial Services LLC	18.85%
5. Direct Edge Exchange	3.97%
6. Citigroup Global Markets, Inc.	3.97%
7. NYSE Arca	1.59%
8. BATS Exchange, Inc.	1.39%
9. AlterNet Securities Inc.	0.99%
10. Goldman, Sachs & Co.	0.99%

Information Concerning Significant Venues

:

1. UBS Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market	29.41%
Limit orders as percentage of total limit orders	16.92%
Other orders as percentage of total other orders	21.43%

2. Citadel Derivatives Group LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market	21.88%
Limit orders as percentage of total limit orders	16.92%
Other orders as percentage of total other orders	7.14%

3. Knight Equity Markets, L.P.

Types of Orders Routed to Venue :

Market orders as percentage of total market	19.53%
Limit orders as percentage of total limit orders	6.15%
Other orders as percentage of total other orders	71.43%

4. National Financial Services LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market	21.65%
Limit orders as percentage of total limit orders	4.62%
Other orders as percentage of total other orders	0.00%

5. Direct Edge Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market	0.00%
Limit orders as percentage of total limit orders	30.77%
Other orders as percentage of total other orders	0.00%

6. Citigroup Global Markets, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market	4.47%
Limit orders as percentage of total limit orders	1.54%
Other orders as percentage of total other orders	0.00%

7. NYSE Arca

Types of Orders Routed to Venue :

Market orders as percentage of total market	0.00%
Limit orders as percentage of total limit orders	12.31%
Other orders as percentage of total other orders	0.00%

8. BATS Exchange, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market	0.00%
Limit orders as percentage of total limit orders	10.77%
Other orders as percentage of total other orders	0.00%

9. AlterNet Securities Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market	1.18%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

10. Goldman, Sachs & Co.

Types of Orders Routed to Venue :

Market orders as percentage of total market	1.18%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

Securities Listed on NYSE Amex or Regional Exchanges

Summary Statistics :

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	83.62%
Limit orders as percentage of total non-directed orders	12.38%
Other orders as percentage of total non-directed orders	4.00%

Venues Receiving Significant Percentage of Total Non-Directed Orders :

1. Knight Capital Markets, LLC	40.57%
2. Citadel Derivatives Group LLC	23.05%
3. National Financial Services LLC	16.38%
4. UBS Securities, LLC	13.33%
5. NYSE Arca	2.10%
6. Direct Edge Exchange	2.10%
7. BATS Exchange, Inc.	0.95%
8. Citigroup Global Markets, Inc.	0.95%
9. AlterNet Securities Inc.	0.19%
10. BNY ConvergeEx Execution Solutions L.L.C.	0.19%

Information Concerning Significant Venues

:

1. Knight Capital Markets, LLC	
Types of Orders Routed to Venue :	
Market orders as percentage of total market	41.69%
Limit orders as percentage of total limit orders	26.15%
Other orders as percentage of total other orders	61.90%
2. Citadel Derivatives Group LLC	
Types of Orders Routed to Venue :	
Market orders as percentage of total market	25.74%
Limit orders as percentage of total limit orders	10.77%
Other orders as percentage of total other orders	4.76%
3. National Financial Services LLC	
Types of Orders Routed to Venue :	
Market orders as percentage of total market	19.13%
Limit orders as percentage of total limit orders	3.08%
Other orders as percentage of total other orders	0.00%
4. UBS Securities, LLC	
Types of Orders Routed to Venue :	
Market orders as percentage of total market	11.62%
Limit orders as percentage of total limit orders	18.46%
Other orders as percentage of total other orders	33.33%
5. NYSE Arca	
Types of Orders Routed to Venue :	
Market orders as percentage of total market	0.00%
Limit orders as percentage of total limit orders	16.92%
Other orders as percentage of total other orders	0.00%

6. Direct Edge Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market	0.00%
Limit orders as percentage of total limit orders	16.92%
Other orders as percentage of total other orders	0.00%

7. BATS Exchange, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market	0.00%
Limit orders as percentage of total limit orders	7.69%
Other orders as percentage of total other orders	0.00%

8. Citigroup Global Markets, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market	1.14%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

9. AlterNet Securities Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market	0.23%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

10. BNY ConvergEx Execution Solutions L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market	0.23%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

Exchange - Listed Options

Summary Statistics :

Non-directed orders as percentage of total customer orders	0.00%
Market orders as percentage of total non-directed orders	0.00%
Limit orders as percentage of total non-directed orders	0.00%
Other orders as percentage of total non-directed orders	0.00%