

**Note: Broker-dealers are required to disclose any material arrangements with the venues listed in each section below, including, but not limited to, any internalization or payment for order flow arrangements.**

**AFY**  
**ALLSTATE FINANCIAL SERVICES LLC**  
**2920 SOUTH 84TH STREET**  
**LINCOLN, NE 68506**

**SEC Rule 606 Quarterly Report for the Quarter Ending March 31, 2011**

**Securities Listed on New York Stock Exchange**

**Summary Statistics :**

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	80.07%
Limit orders as percentage of total non-directed orders	16.28%
Other orders as percentage of total non-directed orders	3.65%

**Venues Receiving Significant Percentage of Total Non-Directed Orders :**

1. Knight Capital Markets, L.L.C.	28.70%
2. National Financial Services, L.L.C.	22.39%
3. Citadel Derivatives Group LLC	16.74%
4. UBS Securities, L.L.C.	14.22%
5. Citigroup Global Markets, Inc.	5.25%
6. Direct Edge Exchange	4.19%
7. Goldman, Sachs & Co.	2.99%
8. AlterNet Securities Inc.	1.59%
9. BATS Exchange, Inc.	1.46%
10. Surge Trading, Inc.	1.20%

**Information Concerning Significant Venues :**

**1. Knight Capital Markets, L.L.C.**

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	27.80%
Limit orders as percentage of total limit orders	21.63%
Other orders as percentage of total other orders	80.00%

**2. National Financial Services, L.L.C.**

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	27.22%
Limit orders as percentage of total limit orders	3.67%
Other orders as percentage of total other orders	0.00%

3. Citadel Derivatives Group LLC		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	17.84%
	Limit orders as percentage of total limit orders	15.10%
	Other orders as percentage of total other orders	0.00%
4. UBS Securities, L.L.C.		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	14.11%
	Limit orders as percentage of total limit orders	14.69%
	Other orders as percentage of total other orders	14.55%
5. Citigroup Global Markets, Inc.		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	5.31%
	Limit orders as percentage of total limit orders	4.90%
	Other orders as percentage of total other orders	5.45%
6. Direct Edge Exchange		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	0.00%
	Limit orders as percentage of total limit orders	25.71%
	Other orders as percentage of total other orders	0.00%
7. Goldman, Sachs & Co.		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	3.73%
	Limit orders as percentage of total limit orders	0.00%
	Other orders as percentage of total other orders	0.00%
8. AlterNet Securities Inc.		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	1.99%
	Limit orders as percentage of total limit orders	0.00%
	Other orders as percentage of total other orders	0.00%
9. BATS Exchange, Inc.		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	0.00%
	Limit orders as percentage of total limit orders	8.98%
	Other orders as percentage of total other orders	0.00%
10. Surge Trading, Inc.		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	1.49%
	Limit orders as percentage of total limit orders	0.00%
	Other orders as percentage of total other orders	0.00%

## Securities Listed on The Nasdaq Stock Market

### Summary Statistics :

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	78.71%
Limit orders as percentage of total non-directed orders	17.90%
Other orders as percentage of total non-directed orders	3.39%

### Venues Receiving Significant Percentage of Total Non-Directed Orders :

1. UBS Securities, L.L.C.	27.74%
2. Citadel Derivatives Group LLC	21.94%
3. Knight Equity Markets, L.P.	20.16%
4. National Financial Services, L.L.C.	18.71%
5. Citigroup Global Markets, Inc.	3.71%
6. Direct Edge Exchange	2.90%
7. AlterNet Securities Inc.	1.61%
8. Goldman, Sachs & Co.	0.97%
9. BATS Exchange, Inc.	0.81%
10. Instinet L.L.C.	0.65%

### Information Concerning Significant Venues :

#### 1. UBS Securities, L.L.C.

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	27.66%
Limit orders as percentage of total limit orders	28.83%
Other orders as percentage of total other orders	23.81%

#### 2. Citadel Derivatives Group LLC

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	21.72%
Limit orders as percentage of total limit orders	27.03%
Other orders as percentage of total other orders	0.00%

#### 3. Knight Equity Markets, L.P.

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	19.47%
Limit orders as percentage of total limit orders	13.51%
Other orders as percentage of total other orders	71.43%

#### 4. National Financial Services, L.L.C.

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	22.95%
Limit orders as percentage of total limit orders	3.60%
Other orders as percentage of total other orders	0.00%

#### 5. Citigroup Global Markets, Inc.

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	3.89%
Limit orders as percentage of total limit orders	2.70%
Other orders as percentage of total other orders	4.76%

6. Direct Edge Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	16.22%
Other orders as percentage of total other orders	0.00%

7. AlterNet Securities Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	2.05%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

8. Goldman, Sachs & Co.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.23%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

9. BATS Exchange, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	4.50%
Other orders as percentage of total other orders	0.00%

10. Instinet L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.82%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

## Securities Listed on NYSE Amex or Regional Exchanges

### Summary Statistics :

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	69.18%
Limit orders as percentage of total non-directed orders	23.46%
Other orders as percentage of total non-directed orders	7.36%

### Venues Receiving Significant Percentage of Total Non-Directed Orders :

1. Knight Capital Markets, L.L.C.	34.39%
2. Citadel Derivatives Group LLC	23.26%
3. National Financial Services, L.L.C.	15.31%
4. UBS Securities, L.L.C.	14.31%
5. Direct Edge Exchange	7.36%
6. BATS Exchange, Inc.	3.18%
7. NYSE Arca	0.80%
8. Citigroup Global Markets, Inc.	0.80%
9. AlterNet Securities Inc.	0.20%
10. GETCO Execution Services L.L.C.	0.20%

### Information Concerning Significant Venues :

#### 1. Knight Capital Markets, L.L.C.

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	33.05%
Limit orders as percentage of total limit orders	30.51%
Other orders as percentage of total other orders	59.46%

#### 2. Citadel Derivatives Group LLC

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	30.75%
Limit orders as percentage of total limit orders	8.47%
Other orders as percentage of total other orders	0.00%

#### 3. National Financial Services, L.L.C.

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	21.55%
Limit orders as percentage of total limit orders	1.69%
Other orders as percentage of total other orders	0.00%

#### 4. UBS Securities, L.L.C.

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	13.22%
Limit orders as percentage of total limit orders	9.32%
Other orders as percentage of total other orders	40.54%

#### 5. Direct Edge Exchange

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	31.36%
Other orders as percentage of total other orders	0.00%

6. BATS Exchange, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	13.56%
Other orders as percentage of total other orders	0.00%

7. NYSE Arca

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	3.39%
Other orders as percentage of total other orders	0.00%

8. Citigroup Global Markets, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.86%
Limit orders as percentage of total limit orders	0.85%
Other orders as percentage of total other orders	0.00%

9. AlterNet Securities Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.29%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

10. GETCO Execution Services L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.29%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

**Exchange - Listed Options**

**Summary Statistics :**

Non-directed orders as percentage of total customer orders	0.00%
Market orders as percentage of total non-directed orders	0.00%
Limit orders as percentage of total non-directed orders	0.00%
Other orders as percentage of total non-directed orders	0.00%