Note: Broker-dealers are required to disclose any material arrangements with the venues listed in each section below, including, but not limited to, any internalization or payment for order flow arrangements.

# **AFY**

# ALLSTATE FINANCIAL SERVICES LLC 2920 SOUTH 84TH STREET LINCOLN, NE 68506

# SEC Rule 606 Quarterly Report for the Quarter Ending June 30, 2019

## Securities Listed on New York Stock Exchange

#### **Summary Statistics:**

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	89.87%
Limit orders as percentage of total non-directed orders	7.81%
Other orders as percentage of total non-directed orders	2.32%

### Venues Receiving Significant Percentage of Total Non-Directed Orders:

1.	Citadel Securities LLC	56.04%
2.	VIRTU Americas LLC	20.76%
3.	G1 Execution Services, LLC	14.77%
4.	Two Sigma Securities, LLC	3.05%
5.	New York Stock Exchange	2.20%
6.	UBS Securities, LLC	2.08%
7.	Cboe EDGX U.S. Equities Exchange	0.49%
8.	NASDAQ Execution Services, LLC	0.49%
9.	Investors Exchange	0.12%

# Information Concerning Significant Venues :

1. Citadel Securities LLC

Types of Orders Routed to Venue:

Market orders as percentage of total non-directed orders	57.61%
Limit orders as percentage of total non-directed orders	43.75%
Other orders as percentage of total non-directed orders	36.84%

2. VIRTU Americas LLC

Market orders as percentage of total non-directed orders	21.20%
Limit orders as percentage of total non-directed orders	7.81%
Other orders as percentage of total non-directed orders	47.37%

### 3. G1 Execution Services, LLC

Market orders as percentage of total non-directed orders	15.90%
Limit orders as percentage of total non-directed orders	6.25%
Other orders as percentage of total non-directed orders	0.00%

## 4. Two Sigma Securities, LLC

#### Types of Orders Routed to Venue:

Market orders as percentage of total non-directed orders	2.99%
Limit orders as percentage of total non-directed orders	3.13%
Other orders as percentage of total non-directed orders	5.26%

#### 5. New York Stock Exchange

## Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	0.00%
Limit orders as percentage of total non-directed orders	26.56%
Other orders as percentage of total non-directed orders	5.26%

## 6. UBS Securities, LLC

### Types of Orders Routed to Venue:

Market orders as percentage of total non-directed orders	2.31%
Limit orders as percentage of total non-directed orders	0.00%
Other orders as percentage of total non-directed orders	0.00%

## 7. Cboe EDGX U.S. Equities Exchange

### Types of Orders Routed to Venue:

Market orders as percentage of total non-directed orders	0.00%
Limit orders as percentage of total non-directed orders	6.25%
Other orders as percentage of total non-directed orders	0.00%

### 8. NASDAQ Execution Services, LLC

### Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	0.00%
Limit orders as percentage of total non-directed orders	6.25%
Other orders as percentage of total non-directed orders	0.00%

### 9. Investors Exchange

Market orders as percentage of total non-directed orders	0.00%
Limit orders as percentage of total non-directed orders	0.00%
Other orders as percentage of total non-directed orders	5.26%

## Securities Listed on The Nasdaq Stock Market

#### **Summary Statistics:**

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	84.94%
Limit orders as percentage of total non-directed orders	10.88%
Other orders as percentage of total non-directed orders	4.18%

### Venues Receiving Significant Percentage of Total Non-Directed Orders:

1.	Citadel Securities LLC	53.97%
2.	VIRTU Americas LLC	18.20%
3.	G1 Execution Services, LLC	12.76%
4.	NASDAQ Execution Services, LLC	4.81%
5.	Cboe EDGX U.S. Equities Exchange	3.35%
6.	Two Sigma Securities, LLC	3.35%
7.	UBS Securities, LLC	2.30%
8.	Credit Suisse Securities (USA) LLC	0.63%
9.	Cboe BZX U.S. Equities Exchange	0.42%
10.	National Financial Services LLC	0.21%

## Information Concerning Significant Venues :

#### 1. Citadel Securities LLC

### Types of Orders Routed to Venue:

Market orders as percentage of total non-directed orders	60.10%
Limit orders as percentage of total non-directed orders	19.23%
Other orders as percentage of total non-directed orders	20.00%

### 2. VIRTU Americas LLC

### Types of Orders Routed to Venue:

Market orders as percentage of total non-directed orders	19.21%
Limit orders as percentage of total non-directed orders	9.62%
Other orders as percentage of total non-directed orders	20.00%

## 3. G1 Execution Services, LLC

## Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	14.04%
Limit orders as percentage of total non-directed orders	7.69%
Other orders as percentage of total non-directed orders	0.00%

### 4. NASDAQ Execution Services, LLC

Market orders as percentage of total non-directed orders	0.00%
Limit orders as percentage of total non-directed orders	36.54%
Other orders as percentage of total non-directed orders	20.00%

## 5. Cboe EDGX U.S. Equities Exchange

Types	οf	Orders	Routed	to	Venue	·

Market orders as percentage of total non-directed orders	0.00%
Limit orders as percentage of total non-directed orders	26.92%
Other orders as percentage of total non-directed orders	10.00%

## 6. Two Sigma Securities, LLC

#### Types of Orders Routed to Venue:

Market orders as percentage of total non-directed orders	3.94%
Limit orders as percentage of total non-directed orders	0.00%
Other orders as percentage of total non-directed orders	0.00%

### 7. UBS Securities, LLC

## Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	2.71%
Limit orders as percentage of total non-directed orders	0.00%
Other orders as percentage of total non-directed orders	0.00%

## 8. Credit Suisse Securities (USA) LLC

#### Types of Orders Routed to Venue:

Market orders as percentage of total non-directed orders	0.00%
Limit orders as percentage of total non-directed orders	0.00%
Other orders as percentage of total non-directed orders	15.00%

## 9. Cboe BZX U.S. Equities Exchange

### Types of Orders Routed to Venue:

Market orders as percentage of total non-directed orders	0.00%
Limit orders as percentage of total non-directed orders	0.00%
Other orders as percentage of total non-directed orders	10.00%

#### 10. National Financial Services LLC

Market orders as percentage of total non-directed orders	0.00%
Limit orders as percentage of total non-directed orders	0.00%
Other orders as percentage of total non-directed orders	5.00%

## Securities Listed on NYSE American or Regional Exchanges

#### **Summary Statistics:**

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	97.02%
Limit orders as percentage of total non-directed orders	2.44%
Other orders as percentage of total non-directed orders	0.54%

### Venues Receiving Significant Percentage of Total Non-Directed Orders:

1.	Citadel Securities LLC	55.56%
2.	VIRTU Americas LLC	19.78%
3.	G1 Execution Services, LLC	17.89%
4.	Two Sigma Securities, LLC	4.34%
5.	UBS Securities, LLC	1.08%
6.	NYSE Arca	0.27%
7.	Cboe EDGX U.S. Equities Exchange	0.27%
8.	Credit Suisse Securities (USA) LLC	0.27%
9.	National Financial Services LLC	0.27%
10.	NASDAQ Execution Services, LLC	0.27%

## Information Concerning Significant Venues :

#### 1. Citadel Securities LLC

### Types of Orders Routed to Venue:

Market orders as percentage of total non-directed orders	56.42%
Limit orders as percentage of total non-directed orders	33.33%
Other orders as percentage of total non-directed orders	0.00%

### 2. VIRTU Americas LLC

### Types of Orders Routed to Venue:

Market orders as percentage of total non-directed orders	19.55%
Limit orders as percentage of total non-directed orders	22.22%
Other orders as percentage of total non-directed orders	50.00%

## 3. G1 Execution Services, LLC

## Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	18.44%
Limit orders as percentage of total non-directed orders	0.00%
Other orders as percentage of total non-directed orders	0.00%

## 4. Two Sigma Securities, LLC

Market orders as percentage of total non-directed orders	4.47%
Limit orders as percentage of total non-directed orders	0.00%
Other orders as percentage of total non-directed orders	0.00%

## 5. UBS Securities, LLC

Types of Orders Routed to Ven
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Market orders as percentage of total non-directed orders	1.12%
Limit orders as percentage of total non-directed orders	0.00%
Other orders as percentage of total non-directed orders	0.00%

### 6. NYSE Arca

#### Types of Orders Routed to Venue:

Market orders as percentage of total non-directed orders	0.00%
Limit orders as percentage of total non-directed orders	11.11%
Other orders as percentage of total non-directed orders	0.00%

### 7. Cboe EDGX U.S. Equities Exchange

## Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	0.00%
Limit orders as percentage of total non-directed orders	11.11%
Other orders as percentage of total non-directed orders	0.00%

## 8. Credit Suisse Securities (USA) LLC

#### Types of Orders Routed to Venue:

Market orders as percentage of total non-directed orders	0.00%
Limit orders as percentage of total non-directed orders	0.00%
Other orders as percentage of total non-directed orders	50.00%

### 9. National Financial Services LLC

### Types of Orders Routed to Venue:

Market orders as percentage of total non-directed orders	0.00%
Limit orders as percentage of total non-directed orders	11.11%
Other orders as percentage of total non-directed orders	0.00%

### 10. NASDAQ Execution Services, LLC

Market orders as percentage of total non-directed orders	0.00%
Limit orders as percentage of total non-directed orders	11.11%
Other orders as percentage of total non-directed orders	0.00%

## **Exchange - Listed Options**

#### **Summary Statistics:**

Non-directed orders as percentage of total customer orders	0.00%
Market orders as percentage of total non-directed orders	0.00%
Limit orders as percentage of total non-directed orders	0.00%
Other orders as percentage of total non-directed orders	0.00%