Note: Broker-dealers are required to disclose any material arrangements with the venues listed in each section below, including, but not limited to, any internalization or payment for order flow arrangements.

AFY

ALLSTATE FINANCIAL SERVICES LLC 2920 SOUTH 84TH STREET LINCOLN, NE 68506

SEC Rule 606 Quarterly Report for the Quarter Ending September 30, 2017

Securities Listed on New York Stock Exchange

Summary Statistics:

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total market orders	90.09%
Limit orders as percentage of total limit orders	8.02%
Other orders as percentage of total other orders	1.90%

Venues Receiving Significant Percentage of Total Non-Directed Orders :

1.	Citadel Securities LLC	41.40%
2.	VIRTU Americas LLC	34.84%
3.	Two Sigma Securities, LLC	4.96%
4.	G1 Execution Services, LLC	4.81%
5.	BATS BYX Exchange	3.79%
6.	Instinet LLC	2.92%
7.	EDGX Exchange, Inc.	1.90%
8.	New York Stock Exchange	1.46%
9.	BATS BZX Exchange	1.17%
10.	UBS Securities, LLC	0.87%

Information Concerning Significant Venues :

1. Citadel Securities LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	43.53%
Limit orders as percentage of total limit orders	14.55%
Other orders as percentage of total other orders	53.85%

2. VIRTU Americas LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	36.89%
Limit orders as percentage of total limit orders	18.18%
Other orders as percentage of total other orders	7.69%

3. Two Sigma Securities, LLC

Types of Orders Routed to Venue :

	Market orders as percentage of total market orders Limit orders as percentage of total limit orders Other orders as percentage of total other orders	5.34% 1.82% 0.00%
4.	G1 Execution Services, LLC	
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders Limit orders as percentage of total limit orders Other orders as percentage of total other orders	5.34% 0.00% 0.00%
5.	BATS BYX Exchange	
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders Limit orders as percentage of total limit orders Other orders as percentage of total other orders	4.05% 1.82% 0.00%
6.	Instinet LLC	
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders Limit orders as percentage of total limit orders Other orders as percentage of total other orders	3.07% 1.82% 0.00%
7.	EDGX Exchange, Inc.	
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders Limit orders as percentage of total limit orders Other orders as percentage of total other orders	0.00% 23.64% 0.00%
8.	New York Stock Exchange	
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders Limit orders as percentage of total limit orders Other orders as percentage of total other orders	0.00% 18.18% 0.00%
9.	BATS BZX Exchange	
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders Limit orders as percentage of total limit orders Other orders as percentage of total other orders	0.00% 12.73% 7.69%
10.	UBS Securities, LLC	
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders Limit orders as percentage of total limit orders Other orders as percentage of total other orders	0.97% 0.00% 0.00%

Summary Statistics:

Non-directed orders as percentage of total customer orders Market orders as percentage of total market orders Limit orders as percentage of total limit orders Other orders as percentage of total other orders	100.00% 84.26% 13.08% 2.66%
Venues Receiving Significant Percentage of Total Non-Directed Orders :	
 VIRTU Americas LLC Citadel Securities LLC Two Sigma Securities, LLC G1 Execution Services, LLC BATS BZX Exchange NASDAQ Execution Services, LLC EDGX Exchange, Inc. BATS BYX Exchange Instinet LLC UBS Securities, LLC 	38.50% 34.38% 6.05% 5.33% 4.12% 3.15% 2.66% 2.18% 1.45% 0.97%
Information Concerning Significant Venues :	
1. VIRTU Americas LLC	
Types of Orders Routed to Venue :	
Market orders as percentage of total market orders Limit orders as percentage of total limit orders Other orders as percentage of total other orders	42.82% 9.26% 45.45%
2. Citadel Securities LLC	
Types of Orders Routed to Venue :	
Market orders as percentage of total market orders Limit orders as percentage of total limit orders Other orders as percentage of total other orders	37.93% 12.96% 27.27%
3. Two Sigma Securities, LLC	
Types of Orders Routed to Venue :	
Market orders as percentage of total market orders Limit orders as percentage of total limit orders Other orders as percentage of total other orders	6.90% 1.85% 0.00%
4. G1 Execution Services, LLC	
Types of Orders Routed to Venue :	
Market orders as percentage of total market orders Limit orders as percentage of total limit orders Other orders as percentage of total other orders	5.75% 3.70% 0.00%

5. BATS BZX Exchange

Types of Orders Routed to Venue :

	Market orders as percentage of total market orders Limit orders as percentage of total limit orders Other orders as percentage of total other orders	0.00% 27.78% 18.18%
6.	NASDAQ Execution Services, LLC	
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders Limit orders as percentage of total limit orders Other orders as percentage of total other orders	0.00% 24.07% 0.00%
7.	EDGX Exchange, Inc.	
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders Limit orders as percentage of total limit orders Other orders as percentage of total other orders	0.00% 20.37% 0.00%
8.	BATS BYX Exchange	
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders Limit orders as percentage of total limit orders Other orders as percentage of total other orders	2.59% 0.00% 0.00%
9.	Instinet LLC	
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders Limit orders as percentage of total limit orders Other orders as percentage of total other orders	1.72% 0.00% 0.00%
10.	UBS Securities, LLC	
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders Limit orders as percentage of total limit orders Other orders as percentage of total other orders	1.15% 0.00% 0.00%

Summary Statistics:

Non-directed orders as percentage of total customer orders Market orders as percentage of total market orders Limit orders as percentage of total limit orders Other orders as percentage of total other orders	100.00% 96.63% 2.40% 0.96%
Venues Receiving Significant Percentage of Total Non-Directed Orders :	
 VIRTU Americas LLC Citadel Securities LLC G1 Execution Services, LLC Two Sigma Securities, LLC BATS BYX Exchange Instinet LLC BNY Mellon Capital Markets LLC EDGX Exchange, Inc. Jane Street Capital, LLC Morgan Stanley & Co. Incorporated 	39.90% 39.42% 5.77% 4.33% 1.44% 0.96% 0.96% 0.48% 0.48%
Information Concerning Significant Venues :	
1. VIRTU Americas LLC	
Types of Orders Routed to Venue :	
Market orders as percentage of total market orders Limit orders as percentage of total limit orders Other orders as percentage of total other orders	40.30% 40.00% 0.00%
2. Citadel Securities LLC	
Types of Orders Routed to Venue :	
Market orders as percentage of total market orders Limit orders as percentage of total limit orders Other orders as percentage of total other orders	40.30% 0.00% 50.00%
3. G1 Execution Services, LLC	
Types of Orders Routed to Venue :	
Market orders as percentage of total market orders Limit orders as percentage of total limit orders Other orders as percentage of total other orders	5.97% 0.00% 0.00%
4. Two Sigma Securities, LLC	
Types of Orders Routed to Venue :	
Market orders as percentage of total market orders Limit orders as percentage of total limit orders Other orders as percentage of total other orders	5.97% 0.00% 0.00%

5. BATS BYX Exchange

	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders Limit orders as percentage of total limit orders Other orders as percentage of total other orders	4.48% 0.00% 0.00%
6.	Instinet LLC	
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders Limit orders as percentage of total limit orders Other orders as percentage of total other orders	1.49% 0.00% 0.00%
7.	BNY Mellon Capital Markets LLC	
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders Limit orders as percentage of total limit orders Other orders as percentage of total other orders	1.00% 0.00% 0.00%
8.	EDGX Exchange, Inc.	
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders Limit orders as percentage of total limit orders Other orders as percentage of total other orders	0.00% 40.00% 0.00%
9.	Jane Street Capital, LLC	
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders Limit orders as percentage of total limit orders Other orders as percentage of total other orders	0.00% 0.00% 50.00%
10.	Morgan Stanley & Co. Incorporated	
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders Limit orders as percentage of total limit orders Other orders as percentage of total other orders	0.50% 0.00% 0.00%

Exchange - Listed Options

Summary Statistics:

Non-directed orders as percentage of total customer orders	0.00%
Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%