

Note: Broker-dealers are required to disclose any material arrangements with the venues listed in each section below, including, but not limited to, any internalization or payment for order flow arrangements.

AFY
ALLSTATE FINANCIAL SERVICES LLC
2920 SOUTH 84TH STREET
LINCOLN, NE 68506

SEC Rule 606 Quarterly Report for the Quarter Ending December 31, 2015

Securities Listed on New York Stock Exchange

Summary Statistics :

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	94.41%
Limit orders as percentage of total non-directed orders	4.41%
Other orders as percentage of total non-directed orders	1.18%

Venues Receiving Significant Percentage of Total Non-Directed Orders :

1. Citadel Securities LLC	40.54%
2. KCG Americas LLC	38.82%
3. UBS Securities, LLC	10.11%
4. Citigroup Global Markets, Inc.	3.23%
5. Instinet LLC	2.37%
6. BATS Y-Exchange, Inc.	1.29%
7. New York Stock Exchange	1.08%
8. Two Sigma Securities, LLC	1.08%
9. EDGX Exchange, Inc.	0.86%
10. G1 Execution Services, LLC	0.22%

Information Concerning Significant Venues :

1. Citadel Securities LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	41.12%
Limit orders as percentage of total limit orders	21.95%
Other orders as percentage of total other orders	63.64%

2. KCG Americas LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	39.52%
Limit orders as percentage of total limit orders	29.27%
Other orders as percentage of total other orders	18.18%

3. UBS Securities, LLC		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	10.59%
	Limit orders as percentage of total limit orders	2.44%
	Other orders as percentage of total other orders	0.00%
4. Citigroup Global Markets, Inc.		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	3.42%
	Limit orders as percentage of total limit orders	0.00%
	Other orders as percentage of total other orders	0.00%
5. Instinet LLC		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	2.51%
	Limit orders as percentage of total limit orders	0.00%
	Other orders as percentage of total other orders	0.00%
6. BATS Y-Exchange, Inc.		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	1.37%
	Limit orders as percentage of total limit orders	0.00%
	Other orders as percentage of total other orders	0.00%
7. New York Stock Exchange		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	0.00%
	Limit orders as percentage of total limit orders	24.39%
	Other orders as percentage of total other orders	0.00%
8. Two Sigma Securities, LLC		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	1.14%
	Limit orders as percentage of total limit orders	0.00%
	Other orders as percentage of total other orders	0.00%
9. EDGX Exchange, Inc.		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	0.00%
	Limit orders as percentage of total limit orders	19.51%
	Other orders as percentage of total other orders	0.00%
10. G1 Execution Services, LLC		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	0.23%
	Limit orders as percentage of total limit orders	0.00%
	Other orders as percentage of total other orders	0.00%

Securities Listed on The Nasdaq Stock Market

Summary Statistics :

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	92.31%
Limit orders as percentage of total non-directed orders	4.96%
Other orders as percentage of total non-directed orders	2.73%

Venues Receiving Significant Percentage of Total Non-Directed Orders :

1. KCG Americas LLC	43.92%
2. Citadel Securities LLC	38.46%
3. UBS Securities, LLC	8.68%
4. EDGX Exchange, Inc.	2.73%
5. BATS Y-Exchange, Inc.	1.49%
6. Citigroup Global Markets, Inc.	1.49%
7. Instinet LLC	1.49%
8. Nasdaq	0.50%
9. Two Sigma Securities, LLC	0.50%
10. BATS Exchange, Inc.	0.25%

Information Concerning Significant Venues :

1. KCG Americas LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	44.35%
Limit orders as percentage of total limit orders	20.00%
Other orders as percentage of total other orders	72.73%

2. Citadel Securities LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	40.59%
Limit orders as percentage of total limit orders	15.00%
Other orders as percentage of total other orders	9.09%

3. UBS Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	9.41%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

4. EDGX Exchange, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	55.00%
Other orders as percentage of total other orders	0.00%

5. BATS Y-Exchange, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.61%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

6. Citigroup Global Markets, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.61%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

7. Instinet LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.61%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

8. Nasdaq

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	5.00%
Other orders as percentage of total other orders	9.09%

9. Two Sigma Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.54%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

10. BATS Exchange, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	5.00%
Other orders as percentage of total other orders	0.00%

Securities Listed on NYSE MKT or Regional Exchanges

Summary Statistics :

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	94.55%
Limit orders as percentage of total non-directed orders	5.18%
Other orders as percentage of total non-directed orders	0.27%

Venues Receiving Significant Percentage of Total Non-Directed Orders :

1. KCG Americas LLC	40.87%
2. Citadel Securities LLC	38.42%
3. UBS Securities, LLC	9.54%
4. Citigroup Global Markets, Inc.	3.81%
5. BATS Y-Exchange, Inc.	1.91%
6. Two Sigma Securities, LLC	1.63%
7. Instinet LLC	1.36%
8. Jane Street Execution, LLC	1.09%
9. EDGX Exchange, Inc.	0.54%
10. BATS Exchange, Inc.	0.27%

Information Concerning Significant Venues :

1. KCG Americas LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	41.50%
Limit orders as percentage of total limit orders	31.58%
Other orders as percentage of total other orders	0.00%

2. Citadel Securities LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	38.62%
Limit orders as percentage of total limit orders	36.84%
Other orders as percentage of total other orders	0.00%

3. UBS Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	10.09%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

4. Citigroup Global Markets, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	3.46%
Limit orders as percentage of total limit orders	10.53%
Other orders as percentage of total other orders	0.00%

5. BATS Y-Exchange, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	2.02%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

6. Two Sigma Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.73%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

7. Instinet LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.44%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

8. Jane Street Execution, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.15%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

9. EDGX Exchange, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	10.53%
Other orders as percentage of total other orders	0.00%

10. BATS Exchange, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	5.26%
Other orders as percentage of total other orders	0.00%

Exchange - Listed Options

Summary Statistics :

Non-directed orders as percentage of total customer orders	0.00%
Market orders as percentage of total non-directed orders	0.00%
Limit orders as percentage of total non-directed orders	0.00%
Other orders as percentage of total non-directed orders	0.00%