

Note: Broker-dealers are required to disclose any material arrangements with the venues listed in each section below, including, but not limited to, any internalization or payment for order flow arrangements.

AFY
ALLSTATE FINANCIAL SERVICES LLC
2920 SOUTH 84TH STREET
LINCOLN, NE 68506

SEC Rule 606 Quarterly Report for the Quarter Ending September 30, 2015

Securities Listed on New York Stock Exchange

Summary Statistics :

| | |
|--|---------|
| Non-directed orders as percentage of total customer orders | 100.00% |
| Market orders as percentage of total non-directed orders | 92.01% |
| Limit orders as percentage of total non-directed orders | 3.61% |
| Other orders as percentage of total non-directed orders | 4.39% |

Venues Receiving Significant Percentage of Total Non-Directed Orders :

| | |
|-----------------------------------|--------|
| 1. KCG Americas LLC | 43.47% |
| 2. Citadel Securities LLC | 38.99% |
| 3. UBS Securities, LLC | 6.63% |
| 4. Citigroup Global Markets, Inc. | 2.53% |
| 5. Instinet LLC | 2.53% |
| 6. EDGX Exchange, Inc. | 1.66% |
| 7. Two Sigma Securities, LLC | 1.46% |
| 8. Goldman, Sachs & Co. | 1.07% |
| 9. BATS Y-Exchange, Inc. | 0.88% |
| 10. New York Stock Exchange | 0.29% |

Information Concerning Significant Venues :

1. KCG Americas LLC

Types of Orders Routed to Venue :

| | |
|--|--------|
| Market orders as percentage of total market orders | 43.96% |
| Limit orders as percentage of total limit orders | 24.32% |
| Other orders as percentage of total other orders | 48.89% |

2. Citadel Securities LLC

Types of Orders Routed to Venue :

| | |
|--|--------|
| Market orders as percentage of total market orders | 40.04% |
| Limit orders as percentage of total limit orders | 18.92% |
| Other orders as percentage of total other orders | 33.33% |

| | | |
|-----------------------------------|--|--------|
| 3. UBS Securities, LLC | | |
| | Types of Orders Routed to Venue : | |
| | Market orders as percentage of total market orders | 6.89% |
| | Limit orders as percentage of total limit orders | 0.00% |
| | Other orders as percentage of total other orders | 6.67% |
| 4. Citigroup Global Markets, Inc. | | |
| | Types of Orders Routed to Venue : | |
| | Market orders as percentage of total market orders | 2.75% |
| | Limit orders as percentage of total limit orders | 0.00% |
| | Other orders as percentage of total other orders | 0.00% |
| 5. Instinet LLC | | |
| | Types of Orders Routed to Venue : | |
| | Market orders as percentage of total market orders | 2.75% |
| | Limit orders as percentage of total limit orders | 0.00% |
| | Other orders as percentage of total other orders | 0.00% |
| 6. EDGX Exchange, Inc. | | |
| | Types of Orders Routed to Venue : | |
| | Market orders as percentage of total market orders | 0.00% |
| | Limit orders as percentage of total limit orders | 45.95% |
| | Other orders as percentage of total other orders | 0.00% |
| 7. Two Sigma Securities, LLC | | |
| | Types of Orders Routed to Venue : | |
| | Market orders as percentage of total market orders | 1.48% |
| | Limit orders as percentage of total limit orders | 2.70% |
| | Other orders as percentage of total other orders | 0.00% |
| 8. Goldman, Sachs & Co. | | |
| | Types of Orders Routed to Venue : | |
| | Market orders as percentage of total market orders | 1.17% |
| | Limit orders as percentage of total limit orders | 0.00% |
| | Other orders as percentage of total other orders | 0.00% |
| 9. BATS Y-Exchange, Inc. | | |
| | Types of Orders Routed to Venue : | |
| | Market orders as percentage of total market orders | 0.95% |
| | Limit orders as percentage of total limit orders | 0.00% |
| | Other orders as percentage of total other orders | 0.00% |
| 10. New York Stock Exchange | | |
| | Types of Orders Routed to Venue : | |
| | Market orders as percentage of total market orders | 0.00% |
| | Limit orders as percentage of total limit orders | 8.11% |
| | Other orders as percentage of total other orders | 0.00% |

Securities Listed on The Nasdaq Stock Market

Summary Statistics :

| | |
|--|---------|
| Non-directed orders as percentage of total customer orders | 100.00% |
| Market orders as percentage of total non-directed orders | 87.30% |
| Limit orders as percentage of total non-directed orders | 7.62% |
| Other orders as percentage of total non-directed orders | 5.08% |

Venues Receiving Significant Percentage of Total Non-Directed Orders :

| | |
|--|--------|
| 1. KCG Americas LLC | 41.21% |
| 2. Citadel Securities LLC | 38.09% |
| 3. UBS Securities, LLC | 8.79% |
| 4. Citigroup Global Markets, Inc. | 4.30% |
| 5. BATS Y-Exchange, Inc. | 2.15% |
| 6. EDGX Exchange, Inc. | 2.15% |
| 7. Goldman, Sachs & Co. | 1.56% |
| 8. Nasdaq | 0.78% |
| 9. BATS Exchange, Inc. | 0.39% |
| 10. Credit Suisse Securities (USA) LLC | 0.20% |

Information Concerning Significant Venues :

1. KCG Americas LLC

Types of Orders Routed to Venue :

| | |
|--|--------|
| Market orders as percentage of total market orders | 39.60% |
| Limit orders as percentage of total limit orders | 23.08% |
| Other orders as percentage of total other orders | 96.15% |

2. Citadel Securities LLC

Types of Orders Routed to Venue :

| | |
|--|--------|
| Market orders as percentage of total market orders | 40.94% |
| Limit orders as percentage of total limit orders | 28.21% |
| Other orders as percentage of total other orders | 3.85% |

3. UBS Securities, LLC

Types of Orders Routed to Venue :

| | |
|--|-------|
| Market orders as percentage of total market orders | 9.84% |
| Limit orders as percentage of total limit orders | 2.56% |
| Other orders as percentage of total other orders | 0.00% |

4. Citigroup Global Markets, Inc.

Types of Orders Routed to Venue :

| | |
|--|-------|
| Market orders as percentage of total market orders | 4.70% |
| Limit orders as percentage of total limit orders | 2.56% |
| Other orders as percentage of total other orders | 0.00% |

5. BATS Y-Exchange, Inc.

Types of Orders Routed to Venue :

| | |
|--|-------|
| Market orders as percentage of total market orders | 2.46% |
| Limit orders as percentage of total limit orders | 0.00% |
| Other orders as percentage of total other orders | 0.00% |

6. EDGX Exchange, Inc.

Types of Orders Routed to Venue :

| | |
|--|--------|
| Market orders as percentage of total market orders | 0.00% |
| Limit orders as percentage of total limit orders | 28.21% |
| Other orders as percentage of total other orders | 0.00% |

7. Goldman, Sachs & Co.

Types of Orders Routed to Venue :

| | |
|--|-------|
| Market orders as percentage of total market orders | 1.79% |
| Limit orders as percentage of total limit orders | 0.00% |
| Other orders as percentage of total other orders | 0.00% |

8. Nasdaq

Types of Orders Routed to Venue :

| | |
|--|--------|
| Market orders as percentage of total market orders | 0.00% |
| Limit orders as percentage of total limit orders | 10.26% |
| Other orders as percentage of total other orders | 0.00% |

9. BATS Exchange, Inc.

Types of Orders Routed to Venue :

| | |
|--|-------|
| Market orders as percentage of total market orders | 0.00% |
| Limit orders as percentage of total limit orders | 5.13% |
| Other orders as percentage of total other orders | 0.00% |

10. Credit Suisse Securities (USA) LLC

Types of Orders Routed to Venue :

| | |
|--|-------|
| Market orders as percentage of total market orders | 0.22% |
| Limit orders as percentage of total limit orders | 0.00% |
| Other orders as percentage of total other orders | 0.00% |

Securities Listed on NYSE MKT or Regional Exchanges

Summary Statistics :

| | |
|--|---------|
| Non-directed orders as percentage of total customer orders | 100.00% |
| Market orders as percentage of total non-directed orders | 85.19% |
| Limit orders as percentage of total non-directed orders | 12.76% |
| Other orders as percentage of total non-directed orders | 2.06% |

Venues Receiving Significant Percentage of Total Non-Directed Orders :

| | |
|-----------------------------------|--------|
| 1. KCG Americas LLC | 38.68% |
| 2. Citadel Securities LLC | 35.80% |
| 3. UBS Securities, LLC | 9.05% |
| 4. Citigroup Global Markets, Inc. | 3.70% |
| 5. BATS Y-Exchange, Inc. | 2.47% |
| 6. BATS Exchange, Inc. | 2.06% |
| 7. Instinet LLC | 2.06% |
| 8. NYSE Arca | 1.23% |
| 9. Goldman, Sachs & Co. | 1.23% |
| 10. Two Sigma Securities, LLC | 1.23% |

Information Concerning Significant Venues :

1. KCG Americas LLC

Types of Orders Routed to Venue :

| | |
|--|--------|
| Market orders as percentage of total market orders | 40.58% |
| Limit orders as percentage of total limit orders | 29.03% |
| Other orders as percentage of total other orders | 20.00% |

2. Citadel Securities LLC

Types of Orders Routed to Venue :

| | |
|--|--------|
| Market orders as percentage of total market orders | 36.23% |
| Limit orders as percentage of total limit orders | 29.03% |
| Other orders as percentage of total other orders | 60.00% |

3. UBS Securities, LLC

Types of Orders Routed to Venue :

| | |
|--|-------|
| Market orders as percentage of total market orders | 9.66% |
| Limit orders as percentage of total limit orders | 6.45% |
| Other orders as percentage of total other orders | 0.00% |

4. Citigroup Global Markets, Inc.

Types of Orders Routed to Venue :

| | |
|--|-------|
| Market orders as percentage of total market orders | 2.90% |
| Limit orders as percentage of total limit orders | 9.68% |
| Other orders as percentage of total other orders | 0.00% |

5. BATS Y-Exchange, Inc.

Types of Orders Routed to Venue :

| | |
|--|-------|
| Market orders as percentage of total market orders | 2.90% |
| Limit orders as percentage of total limit orders | 0.00% |
| Other orders as percentage of total other orders | 0.00% |

6. BATS Exchange, Inc.

Types of Orders Routed to Venue :

| | |
|--|-------|
| Market orders as percentage of total market orders | 2.42% |
| Limit orders as percentage of total limit orders | 0.00% |
| Other orders as percentage of total other orders | 0.00% |

7. Instinet LLC

Types of Orders Routed to Venue :

| | |
|--|-------|
| Market orders as percentage of total market orders | 1.93% |
| Limit orders as percentage of total limit orders | 3.23% |
| Other orders as percentage of total other orders | 0.00% |

8. NYSE Arca

Types of Orders Routed to Venue :

| | |
|--|-------|
| Market orders as percentage of total market orders | 0.00% |
| Limit orders as percentage of total limit orders | 9.68% |
| Other orders as percentage of total other orders | 0.00% |

9. Goldman, Sachs & Co.

Types of Orders Routed to Venue :

| | |
|--|-------|
| Market orders as percentage of total market orders | 1.45% |
| Limit orders as percentage of total limit orders | 0.00% |
| Other orders as percentage of total other orders | 0.00% |

10. Two Sigma Securities, LLC

Types of Orders Routed to Venue :

| | |
|--|-------|
| Market orders as percentage of total market orders | 1.45% |
| Limit orders as percentage of total limit orders | 0.00% |
| Other orders as percentage of total other orders | 0.00% |

Exchange - Listed Options

Summary Statistics :

| | |
|--|-------|
| Non-directed orders as percentage of total customer orders | 0.00% |
| Market orders as percentage of total non-directed orders | 0.00% |
| Limit orders as percentage of total non-directed orders | 0.00% |
| Other orders as percentage of total non-directed orders | 0.00% |