

**Note: Broker-dealers are required to disclose any material arrangements with the venues listed in each section below, including, but not limited to, any internalization or payment for order flow arrangements.**

**AFY**  
**ALLSTATE FINANCIAL SERVICES LLC**  
**2920 SOUTH 84TH STREET**  
**LINCOLN, NE 68506**

**SEC Rule 606 Quarterly Report for the Quarter Ending March 31, 2014**

**Securities Listed on New York Stock Exchange**

**Summary Statistics :**

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	91.85%
Limit orders as percentage of total non-directed orders	6.38%
Other orders as percentage of total non-directed orders	1.77%

**Venues Receiving Significant Percentage of Total Non-Directed Orders :**

1. KCG Americas LLC	33.07%
2. Citadel Securities LLC	20.12%
3. Goldman, Sachs & Co.	13.25%
4. Two Sigma Securities, LLC	12.56%
5. National Financial Services LLC	7.85%
6. UBS Securities, LLC	3.53%
7. Direct Edge Exchange	3.14%
8. Instinet LLC	2.36%
9. Citigroup Global Markets, Inc.	2.26%
10. BATS Exchange, Inc.	0.69%

**Information Concerning Significant Venues :**

1. KCG Americas LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	33.65%
Limit orders as percentage of total limit orders	15.38%
Other orders as percentage of total other orders	66.67%

2. Citadel Securities LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	20.73%
Limit orders as percentage of total limit orders	7.69%
Other orders as percentage of total other orders	33.33%

3. Goldman, Sachs & Co.		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	14.21%
	Limit orders as percentage of total limit orders	3.08%
	Other orders as percentage of total other orders	0.00%
4. Two Sigma Securities, LLC		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	13.25%
	Limit orders as percentage of total limit orders	6.15%
	Other orders as percentage of total other orders	0.00%
5. National Financial Services LLC		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	8.44%
	Limit orders as percentage of total limit orders	1.54%
	Other orders as percentage of total other orders	0.00%
6. UBS Securities, LLC		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	3.74%
	Limit orders as percentage of total limit orders	1.54%
	Other orders as percentage of total other orders	0.00%
7. Direct Edge Exchange		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	0.00%
	Limit orders as percentage of total limit orders	49.23%
	Other orders as percentage of total other orders	0.00%
8. Instinet LLC		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	2.46%
	Limit orders as percentage of total limit orders	1.54%
	Other orders as percentage of total other orders	0.00%
9. Citigroup Global Markets, Inc.		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	2.46%
	Limit orders as percentage of total limit orders	0.00%
	Other orders as percentage of total other orders	0.00%
10. BATS Exchange, Inc.		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	0.21%
	Limit orders as percentage of total limit orders	7.69%
	Other orders as percentage of total other orders	0.00%

## Securities Listed on The Nasdaq Stock Market

### Summary Statistics :

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	76.57%
Limit orders as percentage of total non-directed orders	21.22%
Other orders as percentage of total non-directed orders	2.21%

### Venues Receiving Significant Percentage of Total Non-Directed Orders :

1. KCG Americas LLC	33.03%
2. Citadel Securities LLC	19.19%
3. Direct Edge Exchange	11.62%
4. National Financial Services LLC	9.23%
5. Goldman, Sachs & Co.	8.49%
6. Two Sigma Securities, LLC	8.49%
7. UBS Securities, LLC	3.32%
8. Instinet LLC	1.85%
9. BATS Exchange, Inc.	1.66%
10. Nasdaq Execution Services, LLC	1.29%

### Information Concerning Significant Venues :

#### 1. KCG Americas LLC

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	36.63%
Limit orders as percentage of total limit orders	14.78%
Other orders as percentage of total other orders	83.33%

#### 2. Citadel Securities LLC

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	24.34%
Limit orders as percentage of total limit orders	2.61%
Other orders as percentage of total other orders	0.00%

#### 3. Direct Edge Exchange

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	54.78%
Other orders as percentage of total other orders	0.00%

#### 4. National Financial Services LLC

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	10.84%
Limit orders as percentage of total limit orders	4.35%
Other orders as percentage of total other orders	0.00%

#### 5. Goldman, Sachs & Co.

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	10.60%
Limit orders as percentage of total limit orders	1.74%
Other orders as percentage of total other orders	0.00%

6. Two Sigma Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	10.60%
Limit orders as percentage of total limit orders	1.74%
Other orders as percentage of total other orders	0.00%

7. UBS Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	3.61%
Limit orders as percentage of total limit orders	0.87%
Other orders as percentage of total other orders	16.67%

8. Instinet LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	2.17%
Limit orders as percentage of total limit orders	0.87%
Other orders as percentage of total other orders	0.00%

9. BATS Exchange, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.48%
Limit orders as percentage of total limit orders	6.09%
Other orders as percentage of total other orders	0.00%

10. Nasdaq Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.24%
Limit orders as percentage of total limit orders	5.22%
Other orders as percentage of total other orders	0.00%

## Securities Listed on NYSE MKT or Regional Exchanges

### Summary Statistics :

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	94.37%
Limit orders as percentage of total non-directed orders	3.17%
Other orders as percentage of total non-directed orders	2.46%

### Venues Receiving Significant Percentage of Total Non-Directed Orders :

1. KCG Americas LLC	32.75%
2. Citadel Securities LLC	27.82%
3. Goldman, Sachs & Co.	20.42%
4. Two Sigma Securities, LLC	7.75%
5. UBS Securities, LLC	2.82%
6. Instinet LLC	2.11%
7. National Financial Services LLC	2.11%
8. Direct Edge Exchange	1.41%
9. Citigroup Global Markets, Inc.	1.06%
10. BATS Exchange, Inc.	0.70%

### Information Concerning Significant Venues :

#### 1. KCG Americas LLC

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	31.34%
Limit orders as percentage of total limit orders	22.22%
Other orders as percentage of total other orders	100.00%

#### 2. Citadel Securities LLC

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	29.48%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

#### 3. Goldman, Sachs & Co.

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	21.27%
Limit orders as percentage of total limit orders	11.11%
Other orders as percentage of total other orders	0.00%

#### 4. Two Sigma Securities, LLC

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	8.21%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

#### 5. UBS Securities, LLC

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	2.99%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

6. Instinet LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	2.24%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

7. National Financial Services LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	2.24%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

8. Direct Edge Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	44.44%
Other orders as percentage of total other orders	0.00%

9. Citigroup Global Markets, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.12%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

10. BATS Exchange, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.37%
Limit orders as percentage of total limit orders	11.11%
Other orders as percentage of total other orders	0.00%

**Exchange - Listed Options**

**Summary Statistics :**

Non-directed orders as percentage of total customer orders	0.00%
Market orders as percentage of total non-directed orders	0.00%
Limit orders as percentage of total non-directed orders	0.00%
Other orders as percentage of total non-directed orders	0.00%