

Note: Broker-dealers are required to disclose any material arrangements with the venues listed in each section below, including, but not limited to, any internalization or payment for order flow arrangements.

AFY
ALLSTATE FINANCIAL SERVICES LLC
2920 SOUTH 84TH STREET
LINCOLN, NE 68506

SEC Rule 606 Quarterly Report for the Quarter Ending December 31, 2012

Securities Listed on New York Stock Exchange

Summary Statistics :

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	88.40%
Limit orders as percentage of total non-directed orders	9.36%
Other orders as percentage of total non-directed orders	2.23%

Venues Receiving Significant Percentage of Total Non-Directed Orders :

1. Knight Capital Markets, LLC	31.10%
2. Citadel Securities LLC	24.40%
3. UBS Securities, LLC	11.94%
4. Goldman, Sachs & Co.	10.91%
5. National Financial Services LLC	6.62%
6. Two Sigma Securities, LLC	4.38%
7. Direct Edge Exchange	3.78%
8. Citigroup Global Markets, Inc.	3.44%
9. Instinet LLC	1.55%
10. Morgan Stanley & Co. Incorporated	1.03%

Information Concerning Significant Venues :

1. Knight Capital Markets, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	32.75%
Limit orders as percentage of total limit orders	12.84%
Other orders as percentage of total other orders	42.31%

2. Citadel Securities LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	26.34%
Limit orders as percentage of total limit orders	11.01%
Other orders as percentage of total other orders	3.85%

3. UBS Securities, LLC		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	11.66%
	Limit orders as percentage of total limit orders	9.17%
	Other orders as percentage of total other orders	34.62%
4. Goldman, Sachs & Co.		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	12.05%
	Limit orders as percentage of total limit orders	2.75%
	Other orders as percentage of total other orders	0.00%
5. National Financial Services LLC		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	6.51%
	Limit orders as percentage of total limit orders	9.17%
	Other orders as percentage of total other orders	0.00%
6. Two Sigma Securities, LLC		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	4.86%
	Limit orders as percentage of total limit orders	0.92%
	Other orders as percentage of total other orders	0.00%
7. Direct Edge Exchange		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	0.00%
	Limit orders as percentage of total limit orders	40.37%
	Other orders as percentage of total other orders	0.00%
8. Citigroup Global Markets, Inc.		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	3.01%
	Limit orders as percentage of total limit orders	3.67%
	Other orders as percentage of total other orders	19.23%
9. Instinet LLC		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	1.65%
	Limit orders as percentage of total limit orders	0.92%
	Other orders as percentage of total other orders	0.00%
10. Morgan Stanley & Co. Incorporated		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	1.17%
	Limit orders as percentage of total limit orders	0.00%
	Other orders as percentage of total other orders	0.00%

Securities Listed on The Nasdaq Stock Market

Summary Statistics :

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	89.82%
Limit orders as percentage of total non-directed orders	9.73%
Other orders as percentage of total non-directed orders	0.44%

Venues Receiving Significant Percentage of Total Non-Directed Orders :

1. Citadel Securities LLC	38.27%
2. Knight Equity Markets, L.P.	19.03%
3. UBS Securities, LLC	9.51%
4. National Financial Services LLC	9.29%
5. Two Sigma Securities, LLC	8.41%
6. Goldman, Sachs & Co.	6.64%
7. Citigroup Global Markets, Inc.	3.54%
8. Direct Edge Exchange	1.99%
9. Instinet LLC	1.55%
10. LavaFlow, Inc.	0.66%

Information Concerning Significant Venues :

1. Citadel Securities LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	38.18%
Limit orders as percentage of total limit orders	40.91%
Other orders as percentage of total other orders	0.00%

2. Knight Equity Markets, L.P.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	20.44%
Limit orders as percentage of total limit orders	6.82%
Other orders as percentage of total other orders	0.00%

3. UBS Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	9.61%
Limit orders as percentage of total limit orders	4.55%
Other orders as percentage of total other orders	100.00%

4. National Financial Services LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	9.11%
Limit orders as percentage of total limit orders	11.36%
Other orders as percentage of total other orders	0.00%

5. Two Sigma Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	9.36%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

6. Goldman, Sachs & Co.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	7.39%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

7. Citigroup Global Markets, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	3.20%
Limit orders as percentage of total limit orders	6.82%
Other orders as percentage of total other orders	0.00%

8. Direct Edge Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	20.45%
Other orders as percentage of total other orders	0.00%

9. Instinet LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.72%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

10. LavaFlow, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	6.82%
Other orders as percentage of total other orders	0.00%

Securities Listed on NYSE MKT or Regional Exchanges

Summary Statistics :

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	89.66%
Limit orders as percentage of total non-directed orders	7.59%
Other orders as percentage of total non-directed orders	2.76%

Venues Receiving Significant Percentage of Total Non-Directed Orders :

1. Citadel Securities LLC	25.52%
2. Knight Capital Markets, LLC	25.17%
3. Goldman, Sachs & Co.	20.69%
4. UBS Securities, LLC	9.66%
5. National Financial Services LLC	5.52%
6. Two Sigma Securities, LLC	5.17%
7. Citigroup Global Markets, Inc.	3.45%
8. Direct Edge Exchange	3.10%
9. Morgan Stanley & Co. Incorporated	0.69%
10. NYSE Arca	0.34%

Information Concerning Significant Venues :

1. Citadel Securities LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	26.54%
Limit orders as percentage of total limit orders	4.55%
Other orders as percentage of total other orders	50.00%

2. Knight Capital Markets, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	26.15%
Limit orders as percentage of total limit orders	13.64%
Other orders as percentage of total other orders	25.00%

3. Goldman, Sachs & Co.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	22.31%
Limit orders as percentage of total limit orders	9.09%
Other orders as percentage of total other orders	0.00%

4. UBS Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	9.23%
Limit orders as percentage of total limit orders	9.09%
Other orders as percentage of total other orders	25.00%

5. National Financial Services LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	5.77%
Limit orders as percentage of total limit orders	4.55%
Other orders as percentage of total other orders	0.00%

6. Two Sigma Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	5.77%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

7. Citigroup Global Markets, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	3.08%
Limit orders as percentage of total limit orders	9.09%
Other orders as percentage of total other orders	0.00%

8. Direct Edge Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	40.91%
Other orders as percentage of total other orders	0.00%

9. Morgan Stanley & Co. Incorporated

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.77%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

10. NYSE Arca

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	4.55%
Other orders as percentage of total other orders	0.00%

Exchange - Listed Options

Summary Statistics :

Non-directed orders as percentage of total customer orders	0.00%
Market orders as percentage of total non-directed orders	0.00%
Limit orders as percentage of total non-directed orders	0.00%
Other orders as percentage of total non-directed orders	0.00%