

Note: Broker-dealers are required to disclose any material arrangements with the venues listed in each section below, including, but not limited to, any internalization or payment for order flow arrangements.

AFY
ALLSTATE FINANCIAL SERVICES LLC
2920 SOUTH 84TH STREET
LINCOLN, NE 68506

SEC Rule 606 Quarterly Report for the Quarter Ending September 30, 2012

Securities Listed on New York Stock Exchange

Summary Statistics :

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	82.67%
Limit orders as percentage of total non-directed orders	11.10%
Other orders as percentage of total non-directed orders	6.23%

Venues Receiving Significant Percentage of Total Non-Directed Orders :

1. Knight Capital Markets, LLC	27.18%
2. Citadel Securities LLC	23.33%
3. UBS Securities, LLC	13.48%
4. Goldman, Sachs & Co.	7.02%
5. Citigroup Global Markets, Inc.	6.91%
6. National Financial Services LLC	6.00%
7. Two Sigma Securities, LLC	5.78%
8. BATS Exchange, Inc.	2.60%
9. Instinet LLC	1.93%
10. Direct Edge Exchange	1.70%

Information Concerning Significant Venues :

1. Knight Capital Markets, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	26.71%
Limit orders as percentage of total limit orders	16.33%
Other orders as percentage of total other orders	52.73%

2. Citadel Securities LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	27.40%
Limit orders as percentage of total limit orders	4.08%
Other orders as percentage of total other orders	3.64%

3. UBS Securities, LLC		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	14.52%
	Limit orders as percentage of total limit orders	5.10%
	Other orders as percentage of total other orders	14.55%
4. Goldman, Sachs & Co.		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	8.36%
	Limit orders as percentage of total limit orders	1.02%
	Other orders as percentage of total other orders	0.00%
5. Citigroup Global Markets, Inc.		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	4.79%
	Limit orders as percentage of total limit orders	10.20%
	Other orders as percentage of total other orders	29.09%
6. National Financial Services LLC		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	6.58%
	Limit orders as percentage of total limit orders	5.10%
	Other orders as percentage of total other orders	0.00%
7. Two Sigma Securities, LLC		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	6.71%
	Limit orders as percentage of total limit orders	2.04%
	Other orders as percentage of total other orders	0.00%
8. BATS Exchange, Inc.		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	0.00%
	Limit orders as percentage of total limit orders	23.47%
	Other orders as percentage of total other orders	0.00%
9. Instinet LLC		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	2.33%
	Limit orders as percentage of total limit orders	0.00%
	Other orders as percentage of total other orders	0.00%
10. Direct Edge Exchange		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	0.00%
	Limit orders as percentage of total limit orders	15.31%
	Other orders as percentage of total other orders	0.00%

Securities Listed on The Nasdaq Stock Market

Summary Statistics :

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	80.19%
Limit orders as percentage of total non-directed orders	14.56%
Other orders as percentage of total non-directed orders	5.25%

Venues Receiving Significant Percentage of Total Non-Directed Orders :

1. Citadel Securities LLC	37.23%
2. Knight Equity Markets, L.P.	17.18%
3. UBS Securities, LLC	8.83%
4. Citigroup Global Markets, Inc.	7.64%
5. Goldman, Sachs & Co.	6.44%
6. Two Sigma Securities, LLC	5.97%
7. National Financial Services LLC	5.73%
8. Direct Edge Exchange	5.01%
9. Instinet LLC	1.91%
10. AlterNet Securities Inc.	1.67%

Information Concerning Significant Venues :

1. Citadel Securities LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	42.86%
Limit orders as percentage of total limit orders	19.67%
Other orders as percentage of total other orders	0.00%

2. Knight Equity Markets, L.P.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	16.07%
Limit orders as percentage of total limit orders	6.56%
Other orders as percentage of total other orders	63.64%

3. UBS Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	9.82%
Limit orders as percentage of total limit orders	3.28%
Other orders as percentage of total other orders	9.09%

4. Citigroup Global Markets, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	5.36%
Limit orders as percentage of total limit orders	13.11%
Other orders as percentage of total other orders	27.27%

5. Goldman, Sachs & Co.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	8.04%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

6. Two Sigma Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	7.44%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

7. National Financial Services LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	5.65%
Limit orders as percentage of total limit orders	8.20%
Other orders as percentage of total other orders	0.00%

8. Direct Edge Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	34.43%
Other orders as percentage of total other orders	0.00%

9. Instinet LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	2.38%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

10. AlterNet Securities Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.79%
Limit orders as percentage of total limit orders	1.64%
Other orders as percentage of total other orders	0.00%

Securities Listed on NYSE MKT or Regional Exchanges

Summary Statistics :

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	92.13%
Limit orders as percentage of total non-directed orders	6.89%
Other orders as percentage of total non-directed orders	0.98%

Venues Receiving Significant Percentage of Total Non-Directed Orders :

1. Knight Capital Markets, LLC	32.79%
2. Citadel Securities LLC	25.25%
3. Two Sigma Securities, LLC	10.16%
4. UBS Securities, LLC	8.85%
5. Goldman, Sachs & Co.	7.21%
6. Citigroup Global Markets, Inc.	5.57%
7. National Financial Services LLC	5.25%
8. Direct Edge Exchange	1.97%
9. NYSE Arca	1.31%
10. Instinet LLC	0.98%

Information Concerning Significant Venues :

1. Knight Capital Markets, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	33.10%
Limit orders as percentage of total limit orders	28.57%
Other orders as percentage of total other orders	33.33%

2. Citadel Securities LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	26.33%
Limit orders as percentage of total limit orders	4.76%
Other orders as percentage of total other orders	66.67%

3. Two Sigma Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	11.03%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

4. UBS Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	9.25%
Limit orders as percentage of total limit orders	4.76%
Other orders as percentage of total other orders	0.00%

5. Goldman, Sachs & Co.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	7.83%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

6. Citigroup Global Markets, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	6.05%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

7. National Financial Services LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	4.63%
Limit orders as percentage of total limit orders	14.29%
Other orders as percentage of total other orders	0.00%

8. Direct Edge Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	28.57%
Other orders as percentage of total other orders	0.00%

9. NYSE Arca

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	19.05%
Other orders as percentage of total other orders	0.00%

10. Instinet LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.07%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

Exchange - Listed Options

Summary Statistics :

Non-directed orders as percentage of total customer orders	0.00%
Market orders as percentage of total non-directed orders	0.00%
Limit orders as percentage of total non-directed orders	0.00%
Other orders as percentage of total non-directed orders	0.00%