Note: Broker-dealers are required to disclose any material arrangements with the venues listed in each section below, including, but not limited to, any internalization or payment for order flow arrangements.

# AFY ALLSTATE FINANCIAL SERVICES LLC 2920 SOUTH 84TH STREET LINCOLN, NE 68506

## SEC Rule 606 Quarterly Report for the Quarter Ending June 30, 2010

### Securities Listed on New York Stock Exchange

#### **Summary Statistics :**

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	80.69%
Limit orders as percentage of total non-directed orders	15.88%
Other orders as percentage of total non-directed orders	3.43%

Venues Receiving Significant Percentage of Total Non-Directed Orders :

1. National Financial Services, L.L.C.	29.66%
<ol><li>Knight Capital Markets, L.L.C.</li></ol>	24.29%
3. Citadel Derivatives Group LLC	16.05%
<ol><li>UBS Securities, L.L.C.</li></ol>	15.44%
<ol><li>Citigroup Global Markets, Inc.</li></ol>	10.79%
<ol><li>Direct Edge ECN L.L.C.</li></ol>	1.11%
<ol><li>Goldman, Sachs &amp; Co.</li></ol>	0.77%
8. Surge Trading, Inc.	0.77%
9. BATS Exchange, Inc.	0.61%
10. AlterNet Securities Inc.	0.17%

Information Concerning Significant Venues :

1. National Financial Services, L.L.C.

Types of Orders Routed to Venue :

	Market orders as percentage of total market orders Limit orders as percentage of total limit orders Other orders as percentage of total other orders	32.30% 22.65% 0.00%
2.	Knight Capital Markets, L.L.C.	

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	21.74%
Limit orders as percentage of total limit orders	28.22%
Other orders as percentage of total other orders	66.13%

3.	Citadel Derivatives Group LLC	
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders Limit orders as percentage of total limit orders Other orders as percentage of total other orders	17.01% 14.63% 0.00%
4.	UBS Securities, L.L.C.	
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders Limit orders as percentage of total limit orders Other orders as percentage of total other orders	16.05% 13.59% 9.68%
5.	Citigroup Global Markets, Inc.	
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders Limit orders as percentage of total limit orders Other orders as percentage of total other orders	10.56% 9.06% 24.19%
6.	Direct Edge ECN L.L.C.	
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders Limit orders as percentage of total limit orders Other orders as percentage of total other orders	0.00% 6.97% 0.00%
7.	Goldman, Sachs & Co.	
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders Limit orders as percentage of total limit orders Other orders as percentage of total other orders	0.96% 0.00% 0.00%
8.	Surge Trading, Inc.	
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders Limit orders as percentage of total limit orders Other orders as percentage of total other orders	0.96% 0.00% 0.00%
9.	BATS Exchange, Inc.	
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders Limit orders as percentage of total limit orders Other orders as percentage of total other orders	0.00% 3.83% 0.00%
10.	AlterNet Securities Inc.	
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders Limit orders as percentage of total limit orders Other orders as percentage of total other orders	0.21% 0.00% 0.00%

## Securities Listed on The Nasdag Stock Market

#### **Summary Statistics :**

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	74.40%
Limit orders as percentage of total non-directed orders	20.24%
Other orders as percentage of total non-directed orders	5.36%

Venues Receiving Significant Percentage of Total Non-Directed Orders :

1.	UBS Securities, L.L.C.	24.11%
2.	National Financial Services, L.L.C.	20.54%
3.	Citadel Derivatives Group LLC	19.94%
4.	Knight Equity Markets, L.P.	19.94%
5.	Citigroup Global Markets, Inc.	10.86%
6.	Direct Edge ECN L.L.C.	2.68%
7.	BATS Exchange, Inc.	1.04%
8.	Goldman, Sachs & Co.	0.60%
9.	GETCO Execution Services L.L.C.	0.15%
10.	Surge Trading, Inc.	0.15%

Information Concerning Significant Venues :

1.	UBS Securities, L.L.C.	
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders Limit orders as percentage of total limit orders Other orders as percentage of total other orders	25.80% 11.76% 47.22%
2.	National Financial Services, L.L.C.	
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders Limit orders as percentage of total limit orders Other orders as percentage of total other orders	18.80% 32.35% 0.00%
3.	Citadel Derivatives Group LLC	
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders Limit orders as percentage of total limit orders Other orders as percentage of total other orders	22.20% 16.91% 0.00%
4.	Knight Equity Markets, L.P.	
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders Limit orders as percentage of total limit orders Other orders as percentage of total other orders	19.80% 14.71% 41.67%
5.	Citigroup Global Markets, Inc.	
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders Limit orders as percentage of total limit orders Other orders as percentage of total other orders	12.20% 5.88% 11.11%

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6.	Direct Edge ECN L.L.C.	
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders Limit orders as percentage of total limit orders Other orders as percentage of total other orders	0.00% 13.24% 0.00%
7.	BATS Exchange, Inc.	
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders Limit orders as percentage of total limit orders Other orders as percentage of total other orders	0.00% 5.15% 0.00%
8.	Goldman, Sachs & Co.	
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders Limit orders as percentage of total limit orders Other orders as percentage of total other orders	0.80% 0.00% 0.00%
9.	GETCO Execution Services L.L.C.	
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders Limit orders as percentage of total limit orders Other orders as percentage of total other orders	0.20% 0.00% 0.00%
10	. Surge Trading, Inc.	
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders Limit orders as percentage of total limit orders Other orders as percentage of total other orders	0.20% 0.00% 0.00%

## Securities Listed on NYSE Amex or Regional Exchanges

#### **Summary Statistics :**

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	73.13%
Limit orders as percentage of total non-directed orders	21.81%
Other orders as percentage of total non-directed orders	5.07%

Venues Receiving Significant Percentage of Total Non-Directed Orders :

<ol> <li>Knight Capital Markets, L.L.C.</li> </ol>	29.30%
<ol><li>National Financial Services, L.L.C.</li></ol>	26.21%
<ol><li>UBS Securities, L.L.C.</li></ol>	19.60%
<ol><li>Citadel Derivatives Group LLC</li></ol>	17.18%
5. Direct Edge ECN L.L.C.	2.86%
6. BATS Exchange, Inc.	2.20%
<ol><li>Citigroup Global Markets, Inc.</li></ol>	1.98%
<ol><li>Goldman, Sachs &amp; Co.</li></ol>	0.44%
9. NYSE Arca	0.22%

Information Concerning Significant Venues :

1.	Knight Capital Markets, L.L.C.	
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders Limit orders as percentage of total limit orders Other orders as percentage of total other orders	32.53% 16.16% 39.13%
2.	National Financial Services, L.L.C.	
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders Limit orders as percentage of total limit orders Other orders as percentage of total other orders	28.31% 25.25% 0.00%
3.	UBS Securities, L.L.C.	
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders Limit orders as percentage of total limit orders Other orders as percentage of total other orders	14.76% 26.26% 60.87%
4.	Citadel Derivatives Group LLC	
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders Limit orders as percentage of total limit orders Other orders as percentage of total other orders	21.39% 7.07% 0.00%
5.	Direct Edge ECN L.L.C.	
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders Limit orders as percentage of total limit orders Other orders as percentage of total other orders	0.00% 13.13% 0.00%

## 6. BATS Exchange, Inc.

	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders Limit orders as percentage of total limit orders Other orders as percentage of total other orders	0.30% 9.09% 0.00%
7.	Citigroup Global Markets, Inc.	
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders Limit orders as percentage of total limit orders Other orders as percentage of total other orders	2.41% 1.01% 0.00%
8.	Goldman, Sachs & Co.	
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders Limit orders as percentage of total limit orders Other orders as percentage of total other orders	0.30% 1.01% 0.00%
9.	NYSE Arca	
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders Limit orders as percentage of total limit orders Other orders as percentage of total other orders	0.00% 1.01% 0.00%

### **Exchange - Listed Options**

#### **Summary Statistics :**

Non-directed orders as percentage of total customer orders	0.00%
Market orders as percentage of total non-directed orders	0.00%
Limit orders as percentage of total non-directed orders	0.00%
Other orders as percentage of total non-directed orders	0.00%