

Note: Broker-dealers are required to disclose any material arrangements with the venues listed in each section below, including, but not limited to, any internalization or payment for order flow arrangements.

AFY
ALLSTATE FINANCIAL SERVICES LLC
2920 SOUTH 84TH STREET
LINCOLN, NE 68506

SEC Rule 606 Quarterly Report for the Quarter Ending December 31, 2009

Securities Listed on New York Stock Exchange

Summary Statistics :

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	77.88%
Limit orders as percentage of total non-directed orders	17.19%
Other orders as percentage of total non-directed orders	4.94%

Venues Receiving Significant Percentage of Total Non-Directed Orders :

1. Knight Capital Markets, L.L.C.	26.38%
2. National Financial Services, L.L.C.	20.94%
3. UBS Securities, L.L.C.	17.69%
4. Automated Trading Desk Financial Services, L.L.C.	14.50%
5. Citadel Derivatives Group LLC	12.00%
6. Citigroup Global Markets, Inc.	5.94%
7. Direct Edge ECN L.L.C.	0.69%
8. Goldman, Sachs & Co.	0.63%
9. NYSE Arca	0.50%
10. BATS Trading, Inc.	0.50%

Information Concerning Significant Venues :

1. Knight Capital Markets, L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	25.20%
Limit orders as percentage of total limit orders	25.82%
Other orders as percentage of total other orders	46.84%

2. National Financial Services, L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	25.04%
Limit orders as percentage of total limit orders	8.36%
Other orders as percentage of total other orders	0.00%

3. UBS Securities, L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	17.42%
Limit orders as percentage of total limit orders	17.82%
Other orders as percentage of total other orders	21.52%

4. Automated Trading Desk Financial Services, L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	12.92%
Limit orders as percentage of total limit orders	19.64%
Other orders as percentage of total other orders	21.52%

5. Citadel Derivatives Group LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	10.91%
Limit orders as percentage of total limit orders	17.45%
Other orders as percentage of total other orders	10.13%

6. Citigroup Global Markets, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	7.38%
Limit orders as percentage of total limit orders	1.09%
Other orders as percentage of total other orders	0.00%

7. Direct Edge ECN L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	4.00%
Other orders as percentage of total other orders	0.00%

8. Goldman, Sachs & Co.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.80%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

9. NYSE Arca

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	2.91%
Other orders as percentage of total other orders	0.00%

10. BATS Trading, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	2.91%
Other orders as percentage of total other orders	0.00%

Securities Listed on The Nasdaq Stock Market

Summary Statistics :

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	82.13%
Limit orders as percentage of total non-directed orders	15.16%
Other orders as percentage of total non-directed orders	2.71%

Venues Receiving Significant Percentage of Total Non-Directed Orders :

1. Knight Equity Markets, L.P.	24.55%
2. UBS Securities, L.L.C.	22.20%
3. Citadel Derivatives Group LLC	19.68%
4. National Financial Services, L.L.C.	16.97%
5. Automated Trading Desk Financial Services, L.L.C.	8.84%
6. Citigroup Global Markets, Inc.	5.05%
7. Goldman, Sachs & Co.	1.62%
8. NYSE Arca	0.90%
9. BATS Trading, Inc.	0.18%

Information Concerning Significant Venues :

1. Knight Equity Markets, L.P.	
Types of Orders Routed to Venue :	
Market orders as percentage of total market orders	23.96%
Limit orders as percentage of total limit orders	22.62%
Other orders as percentage of total other orders	53.33%
2. UBS Securities, L.L.C.	
Types of Orders Routed to Venue :	
Market orders as percentage of total market orders	20.22%
Limit orders as percentage of total limit orders	33.33%
Other orders as percentage of total other orders	20.00%
3. Citadel Derivatives Group LLC	
Types of Orders Routed to Venue :	
Market orders as percentage of total market orders	20.00%
Limit orders as percentage of total limit orders	21.43%
Other orders as percentage of total other orders	0.00%
4. National Financial Services, L.L.C.	
Types of Orders Routed to Venue :	
Market orders as percentage of total market orders	19.34%
Limit orders as percentage of total limit orders	7.14%
Other orders as percentage of total other orders	0.00%
5. Automated Trading Desk Financial Services, L.L.C.	
Types of Orders Routed to Venue :	
Market orders as percentage of total market orders	8.57%
Limit orders as percentage of total limit orders	7.14%
Other orders as percentage of total other orders	26.67%

6. Citigroup Global Markets, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	5.93%
Limit orders as percentage of total limit orders	1.19%
Other orders as percentage of total other orders	0.00%

7. Goldman, Sachs & Co.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.98%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

8. NYSE Arca

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	5.95%
Other orders as percentage of total other orders	0.00%

9. BATS Trading, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	1.19%
Other orders as percentage of total other orders	0.00%

Securities Listed on NYSE Amex or Regional Exchanges

Summary Statistics :

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	65.09%
Limit orders as percentage of total non-directed orders	26.43%
Other orders as percentage of total non-directed orders	8.48%

Venues Receiving Significant Percentage of Total Non-Directed Orders :

1. Knight Capital Markets, L.L.C.	30.17%
2. UBS Securities, L.L.C.	22.69%
3. Automated Trading Desk Financial Services, L.L.C.	18.70%
4. Citadel Derivatives Group LLC	15.21%
5. National Financial Services, L.L.C.	9.23%
6. NYSE Arca	1.50%
7. Domestic Securities Inc.	1.50%
8. Citigroup Global Markets, Inc.	0.50%
9. BATS Trading, Inc.	0.25%
10. Goldman, Sachs & Co.	0.25%

Information Concerning Significant Venues :

1. Knight Capital Markets, L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	31.80%
Limit orders as percentage of total limit orders	20.75%
Other orders as percentage of total other orders	47.06%

2. UBS Securities, L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	18.01%
Limit orders as percentage of total limit orders	32.08%
Other orders as percentage of total other orders	29.41%

3. Automated Trading Desk Financial Services, L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	19.16%
Limit orders as percentage of total limit orders	16.98%
Other orders as percentage of total other orders	20.59%

4. Citadel Derivatives Group LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	16.86%
Limit orders as percentage of total limit orders	15.09%
Other orders as percentage of total other orders	2.94%

5. National Financial Services, L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	10.73%
Limit orders as percentage of total limit orders	8.49%
Other orders as percentage of total other orders	0.00%

6. NYSE Arca

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.38%
Limit orders as percentage of total limit orders	4.72%
Other orders as percentage of total other orders	0.00%

7. Domestic Securities Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.92%
Limit orders as percentage of total limit orders	0.94%
Other orders as percentage of total other orders	0.00%

8. Citigroup Global Markets, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.77%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

9. BATS Trading, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	0.94%
Other orders as percentage of total other orders	0.00%

10. Goldman, Sachs & Co.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.38%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

Exchange - Listed Options

Summary Statistics :

Non-directed orders as percentage of total customer orders	0.00%
Market orders as percentage of total non-directed orders	0.00%
Limit orders as percentage of total non-directed orders	0.00%
Other orders as percentage of total non-directed orders	0.00%