

Note: Broker-dealers are required to disclose any material arrangements with the venues listed in each section below, including, but not limited to, any internalization or payment for order flow arrangements.

AFY
ALLSTATE FINANCIAL SERVICES LLC
2920 SOUTH 84TH STREET
LINCOLN, NE 68506

SEC Rule 606 Quarterly Report for the Quarter Ending September 30, 2009

Securities Listed on New York Stock Exchange

Summary Statistics :

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	78.51%
Limit orders as percentage of total non-directed orders	14.92%
Other orders as percentage of total non-directed orders	6.57%

Venues Receiving Significant Percentage of Total Non-Directed Orders :

1. Automated Trading Desk Financial Services, L.L.C.	28.26%
2. Knight Capital Markets, L.L.C.	27.87%
3. Citadel Derivatives Group LLC	15.51%
4. National Financial Services, L.L.C.	13.83%
5. UBS Securities, L.L.C.	10.03%
6. Citigroup Global Markets, Inc.	3.66%
7. Direct Edge ECN L.L.C.	0.20%
8. Goldman, Sachs & Co.	0.20%
9. New York Stock Exchange	0.15%
10. AlterNet Securities Inc.	0.10%

Information Concerning Significant Venues :

1. Automated Trading Desk Financial Services, L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	27.75%
Limit orders as percentage of total limit orders	29.47%
Other orders as percentage of total other orders	31.58%

2. Knight Capital Markets, L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	25.49%
Limit orders as percentage of total limit orders	31.79%
Other orders as percentage of total other orders	47.37%

3. Citadel Derivatives Group LLC		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	15.17%
	Limit orders as percentage of total limit orders	18.54%
	Other orders as percentage of total other orders	12.78%
4. National Financial Services, L.L.C.		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	16.74%
	Limit orders as percentage of total limit orders	4.64%
	Other orders as percentage of total other orders	0.00%
5. UBS Securities, L.L.C.		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	9.50%
	Limit orders as percentage of total limit orders	13.58%
	Other orders as percentage of total other orders	8.27%
6. Citigroup Global Markets, Inc.		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	4.59%
	Limit orders as percentage of total limit orders	0.33%
	Other orders as percentage of total other orders	0.00%
7. Direct Edge ECN L.L.C.		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	0.00%
	Limit orders as percentage of total limit orders	1.32%
	Other orders as percentage of total other orders	0.00%
8. Goldman, Sachs & Co.		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	0.25%
	Limit orders as percentage of total limit orders	0.00%
	Other orders as percentage of total other orders	0.00%
9. New York Stock Exchange		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	0.19%
	Limit orders as percentage of total limit orders	0.00%
	Other orders as percentage of total other orders	0.00%
10. AlterNet Securities Inc.		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	0.13%
	Limit orders as percentage of total limit orders	0.00%
	Other orders as percentage of total other orders	0.00%

Securities Listed on The Nasdaq Stock Market

Summary Statistics :

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	77.90%
Limit orders as percentage of total non-directed orders	20.11%
Other orders as percentage of total non-directed orders	1.99%

Venues Receiving Significant Percentage of Total Non-Directed Orders :

1. Knight Equity Markets, L.P.	27.17%
2. Automated Trading Desk Financial Services, L.L.C.	21.56%
3. UBS Securities, L.L.C.	19.02%
4. Citadel Derivatives Group LLC	16.49%
5. National Financial Services, L.L.C.	11.78%
6. Citigroup Global Markets, Inc.	3.26%
7. Direct Edge ECN L.L.C.	0.36%
8. Natixis Bleichroeder, Inc.	0.18%
9. Goldman, Sachs & Co.	0.18%

Information Concerning Significant Venues :

1. Knight Equity Markets, L.P.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	23.72%
Limit orders as percentage of total limit orders	39.64%
Other orders as percentage of total other orders	36.36%

2. Automated Trading Desk Financial Services, L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	20.23%
Limit orders as percentage of total limit orders	26.13%
Other orders as percentage of total other orders	27.27%

3. UBS Securities, L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	19.07%
Limit orders as percentage of total limit orders	17.12%
Other orders as percentage of total other orders	36.36%

4. Citadel Derivatives Group LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	18.84%
Limit orders as percentage of total limit orders	9.01%
Other orders as percentage of total other orders	0.00%

5. National Financial Services, L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	13.95%
Limit orders as percentage of total limit orders	4.50%
Other orders as percentage of total other orders	0.00%

6. Citigroup Global Markets, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	3.72%
Limit orders as percentage of total limit orders	1.80%
Other orders as percentage of total other orders	0.00%

7. Direct Edge ECN L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	1.80%
Other orders as percentage of total other orders	0.00%

8. Natixis Bleichroeder, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.23%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

9. Goldman, Sachs & Co.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.23%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

Securities Listed on NYSE Amex or Regional Exchanges

Summary Statistics :

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	70.11%
Limit orders as percentage of total non-directed orders	14.81%
Other orders as percentage of total non-directed orders	15.08%

Venues Receiving Significant Percentage of Total Non-Directed Orders :

1. Knight Capital Markets, L.L.C.	40.48%
2. UBS Securities, L.L.C.	19.31%
3. Citadel Derivatives Group LLC	15.87%
4. Automated Trading Desk Financial Services, L.L.C.	12.96%
5. National Financial Services, L.L.C.	5.82%
6. Domestic Securities Inc.	4.76%
7. NYSE Arca	0.79%

Information Concerning Significant Venues :

1. Knight Capital Markets, L.L.C.	
Types of Orders Routed to Venue :	
Market orders as percentage of total market orders	37.36%
Limit orders as percentage of total limit orders	44.64%
Other orders as percentage of total other orders	50.88%
2. UBS Securities, L.L.C.	
Types of Orders Routed to Venue :	
Market orders as percentage of total market orders	18.11%
Limit orders as percentage of total limit orders	25.00%
Other orders as percentage of total other orders	19.30%
3. Citadel Derivatives Group LLC	
Types of Orders Routed to Venue :	
Market orders as percentage of total market orders	12.83%
Limit orders as percentage of total limit orders	28.57%
Other orders as percentage of total other orders	17.54%
4. Automated Trading Desk Financial Services, L.L.C.	
Types of Orders Routed to Venue :	
Market orders as percentage of total market orders	15.47%
Limit orders as percentage of total limit orders	1.79%
Other orders as percentage of total other orders	12.28%
5. National Financial Services, L.L.C.	
Types of Orders Routed to Venue :	
Market orders as percentage of total market orders	8.30%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

6. Domestic Securities Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	6.79%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

7. NYSE Arca

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.13%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

Exchange - Listed Options

Summary Statistics :

Non-directed orders as percentage of total customer orders	0.00%
Market orders as percentage of total non-directed orders	0.00%
Limit orders as percentage of total non-directed orders	0.00%
Other orders as percentage of total non-directed orders	0.00%