

3rd Quarter 2007 SEC Rule 11AC1-6

AFS, LLC is making publicly available quarterly reports of its order routing practices in compliance with SEC Rules 11AC1-6. The report provides information on the routing of non-directed orders (orders that the customer did not specifically instruct AFS, LLC be routed to a particular venue for execution). AFS, LLC does not route or direct an order to a specific venue and does not participate in payment for order flow arrangements. All brokerage orders are forwarded to our clearing broker dealer, National Financial Services (NFS), for execution. NFS's routing practices may be viewed at www.nationalfinancial.com.

The report is divided into four sections: Securities listed on the (1) New York Stock Exchange, (2) Nasdaq, (3) American and (4) exchange listed options.

Note: Broker-dealers are required to disclose any material arrangements with the venues listed in each section below, including, but not limited to, any internalization or payment for order flow arrangements.

AFY
ALLSTATE FINANCIAL SERVICES LLC
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LINCOLN, NE 68506

SEC Rule 606 (formerly 11AC 1-6) Quarterly Report for the Quarter Ending September 30, 2007

Securities Listed on NYSE Euronext

Summary Statistics :

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	80.86%
Limit orders as percentage of total non-directed orders	14.56%
Other orders as percentage of total non-directed orders	4.58%

Venues Receiving Significant Percentage of Total Non-Directed Orders :

1. Knight Capital Markets, L.L.C.	27.70%
2. National Financial Services L.L.C.	24.25%
3. Automated Trading Desk Financial Services, L.L.C.	12.16%
4. Madoff (Bernard L.) Investment Securities, L.L.C.	10.59%
5. NYSE Euronext	9.61%
6. UBS Securities, L.L.C.	8.93%
7. Citadel Derivatives Group, L.L.C.	3.60%
8. E*Trade Cap MKTS/CHXL Trading	3.08%
9. Melvin Securities, L.L.C.	0.08%

Information Concerning Significant Venues :

1. Knight Capital Markets, L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	28.04%
Limit orders as percentage of total limit orders	21.13%
Other orders as percentage of total other orders	42.62%

2. National Financial Services L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	24.88%
Limit orders as percentage of total limit orders	28.35%
Other orders as percentage of total other orders	0.00%

3. Automated Trading Desk Financial Services, L.L.C.		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	11.14%
	Limit orders as percentage of total limit orders	10.31%
	Other orders as percentage of total other orders	36.07%
4. Madoff (Bernard L.) Investment Securities, L.L.C.		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	11.05%
	Limit orders as percentage of total limit orders	11.34%
	Other orders as percentage of total other orders	0.00%
5. NYSE Euronext		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	8.91%
	Limit orders as percentage of total limit orders	15.98%
	Other orders as percentage of total other orders	1.64%
6. UBS Securities, L.L.C.		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	9.10%
	Limit orders as percentage of total limit orders	6.19%
	Other orders as percentage of total other orders	14.75%
7. Citadel Derivatives Group, L.L.C.		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	3.53%
	Limit orders as percentage of total limit orders	3.61%
	Other orders as percentage of total other orders	4.92%
8. E*Trade Cap MKTS/CHXL Trading		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	3.25%
	Limit orders as percentage of total limit orders	3.09%
	Other orders as percentage of total other orders	0.00%
9. Melvin Securities, L.L.C.		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	0.09%
	Limit orders as percentage of total limit orders	0.00%
	Other orders as percentage of total other orders	0.00%

Securities Listed on The Nasdaq Stock Market

Summary Statistics :

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	66.56%
Limit orders as percentage of total non-directed orders	27.63%
Other orders as percentage of total non-directed orders	5.82%

Venues Receiving Significant Percentage of Total Non-Directed Orders :

1. National Financial Services L.L.C.	48.79%
2. Knight Equity Markets, L.P.	24.39%
3. UBS Securities, L.L.C.	12.44%
4. Automated Trading Desk Financial Services, L.L.C.	7.59%
5. Citadel Derivatives Group, L.L.C.	2.58%
6. Bear, Stearns & Co. Inc.	1.29%
7. Citigroup Global Markets, Inc.	1.29%
8. Madoff (Bernard L.) Investment Securities, L.L.C.	0.97%
9. Lehman Brothers, Inc.	0.65%

Information Concerning Significant Venues :

1. National Financial Services L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	49.76%
Limit orders as percentage of total limit orders	48.54%
Other orders as percentage of total other orders	38.89%

2. Knight Equity Markets, L.P.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	25.97%
Limit orders as percentage of total limit orders	21.05%
Other orders as percentage of total other orders	22.22%

3. UBS Securities, L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	7.77%
Limit orders as percentage of total limit orders	23.98%
Other orders as percentage of total other orders	11.11%

4. Automated Trading Desk Financial Services, L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	8.01%
Limit orders as percentage of total limit orders	2.34%
Other orders as percentage of total other orders	27.78%

5. Citadel Derivatives Group, L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	3.40%
Limit orders as percentage of total limit orders	1.17%
Other orders as percentage of total other orders	0.00%

6. Bear, Stearns & Co. Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.46%
Limit orders as percentage of total limit orders	1.17%
Other orders as percentage of total other orders	0.00%

7. Citigroup Global Markets, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.94%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

8. Madoff (Bernard L.) Investment Securities, L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.73%
Limit orders as percentage of total limit orders	1.75%
Other orders as percentage of total other orders	0.00%

9. Lehman Brothers, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.97%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

Securities Listed on The American Stock Exchange or Regional Exchanges

Summary Statistics :

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	58.87%
Limit orders as percentage of total non-directed orders	40.32%
Other orders as percentage of total non-directed orders	0.81%

Venues Receiving Significant Percentage of Total Non-Directed Orders :

1. American Stock Exchange	37.90%
2. Knight Capital Markets, L.L.C.	28.23%
3. National Financial Services L.L.C.	15.32%
4. Citadel Derivatives Group, L.L.C.	7.26%
5. Automated Trading Desk Financial Services, L.L.C.	6.45%
6. E*Trade Cap MKTS/CHXL Trading	3.23%
7. UBS Securities, L.L.C.	1.61%

Information Concerning Significant Venues :

1. American Stock Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	26.03%
Limit orders as percentage of total limit orders	54.00%
Other orders as percentage of total other orders	100.00%

2. Knight Capital Markets, L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	34.25%
Limit orders as percentage of total limit orders	20.00%
Other orders as percentage of total other orders	0.00%

3. National Financial Services L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	16.44%
Limit orders as percentage of total limit orders	14.00%
Other orders as percentage of total other orders	0.00%

4. Citadel Derivatives Group, L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	5.48%
Limit orders as percentage of total limit orders	10.00%
Other orders as percentage of total other orders	0.00%

5. Automated Trading Desk Financial Services, L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	9.59%
Limit orders as percentage of total limit orders	2.00%
Other orders as percentage of total other orders	0.00%

6. E*Trade Cap MKTS/CHXL Trading

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	5.48%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

7. UBS Securities, L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	2.74%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

Exchange - Listed Options

Summary Statistics :

Non-directed orders as percentage of total customer orders	0.00%
Market orders as percentage of total non-directed orders	0.00%
Limit orders as percentage of total non-directed orders	0.00%
Other orders as percentage of total non-directed orders	0.00%